

# DSC250: Advanced Data Mining

## Graph Mining

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Lecture 11, Feb 11, 2025

**UC San Diego**

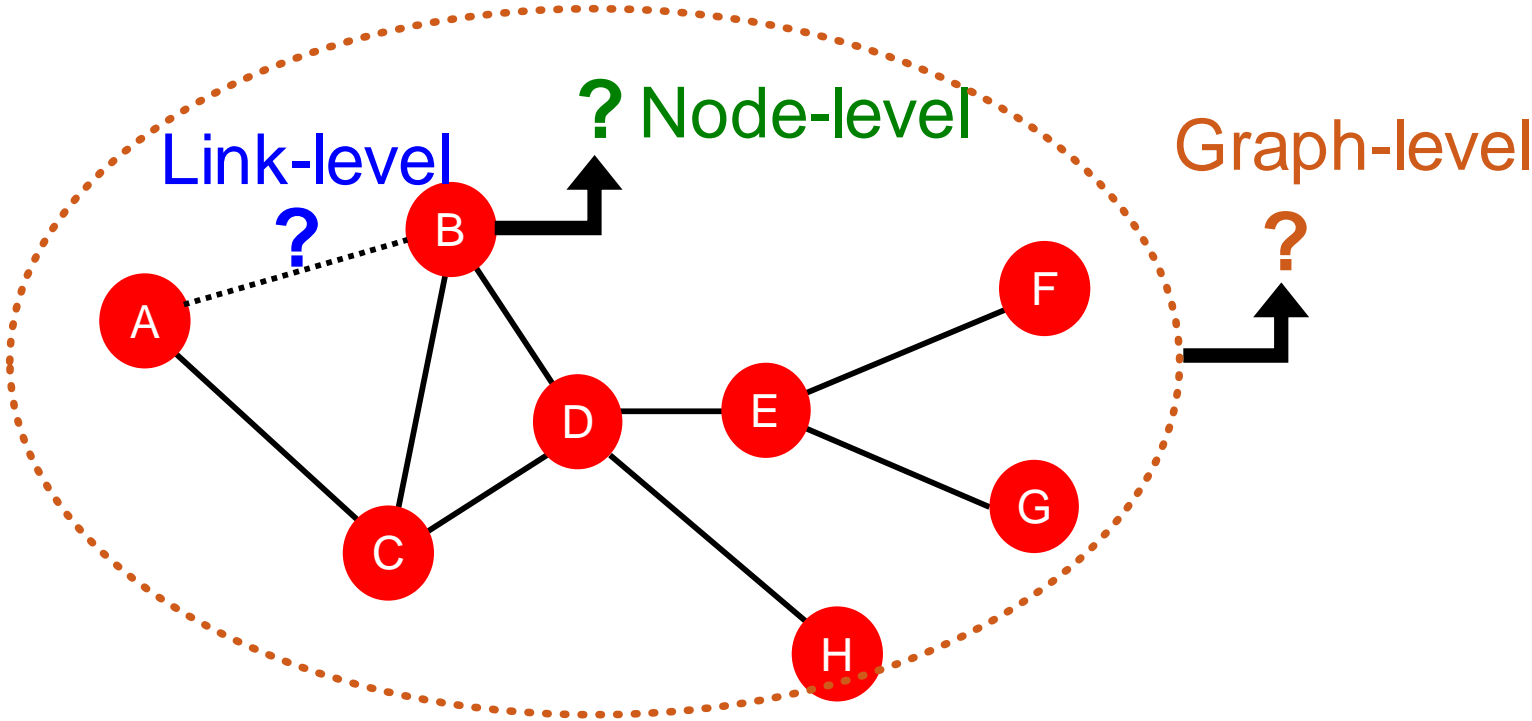
**HALICIOĞLU DATA SCIENCE INSTITUTE**

# Outline

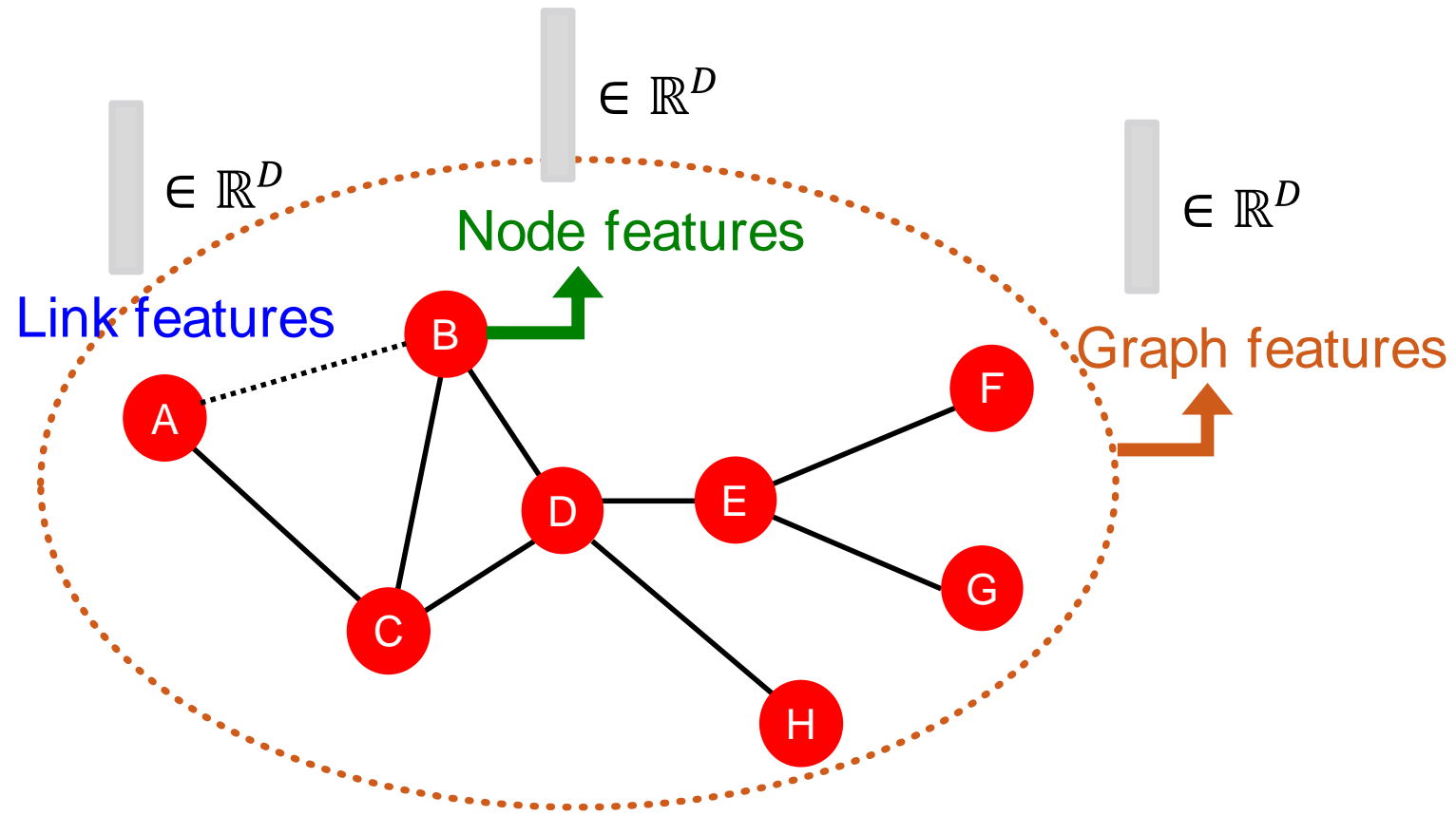
- Graph representation learning
- Presentation
  - Tianhao Zhou, Hao Wang: "Retrieval-Augmented Generation for Knowledge-Intensive NLP Tasks"
  - Nikhil Chowdary Paleti, Shankara Narayanan Venkateswara Raju: "DeepSeek-R1: Incentivizing Reasoning Capability in LLMs via Reinforcement Learning"
  - Letong Liang, Selena Ge: "s1: Simple test-time scaling"
  - Jahnavi Patel, Pratishtha Gaur: "From Local to Global: A Graph RAG Approach to Query-Focused Summarization"

# Recap: Tasks on Graph

- Node-level prediction
- Link-level prediction
- Graph-level prediction

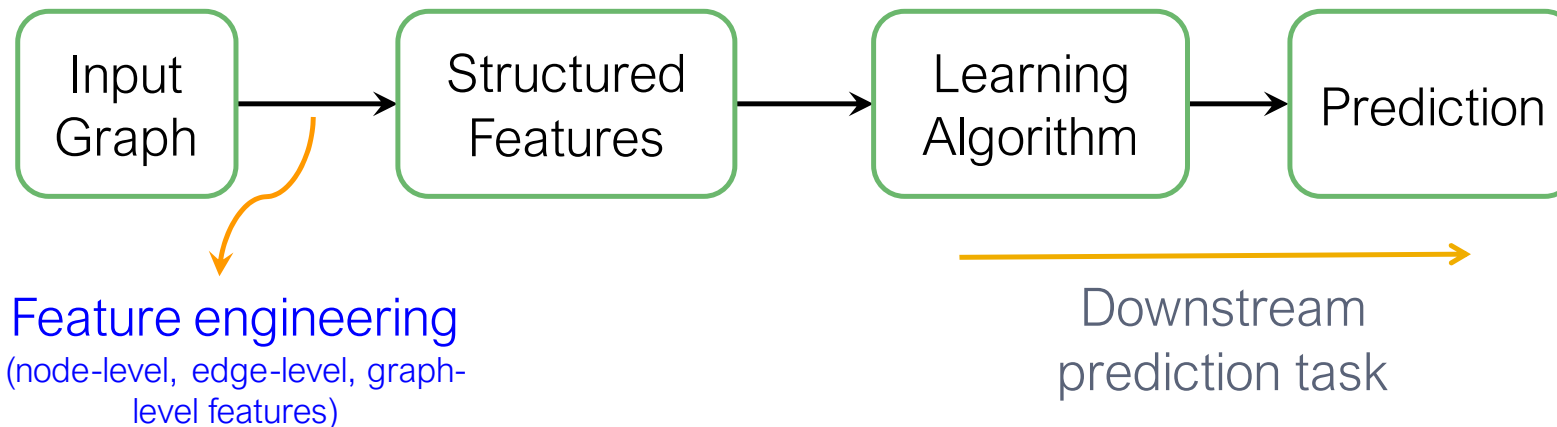


# Recap: Getting Features for Nodes/Links/Graphs



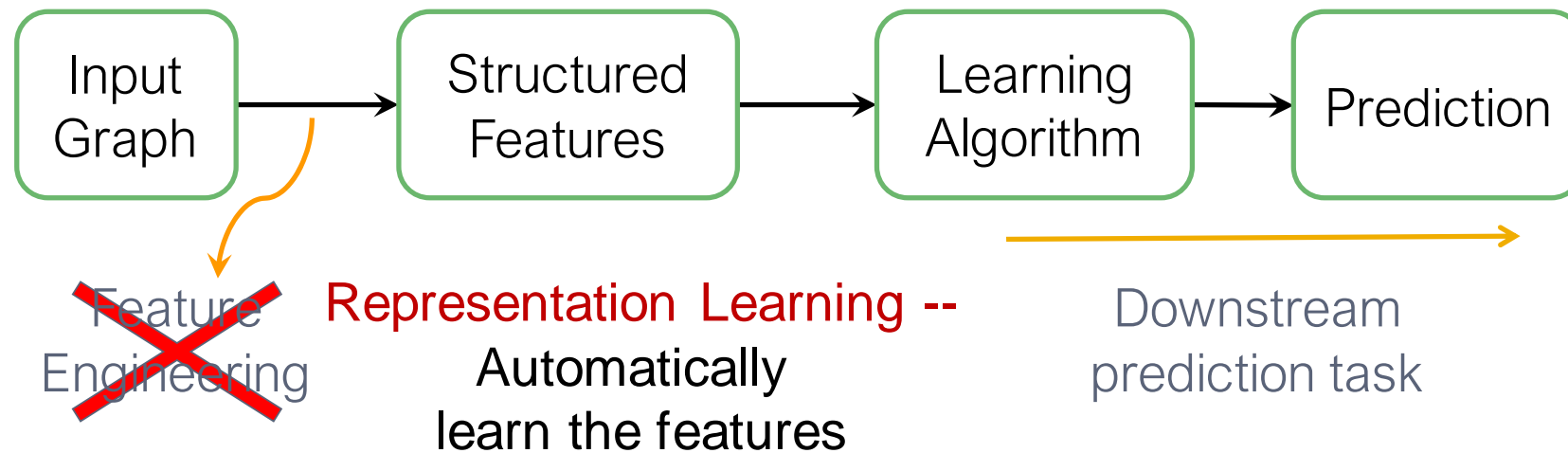
# Recap: feature engineering

- Node-level:
  - Node degree, centrality, clustering coefficient, graphlets
- Link-level:
  - Distance-based feature
  - Local/global neighborhood overlap
- Graph-level:
  - Graphlet kernel



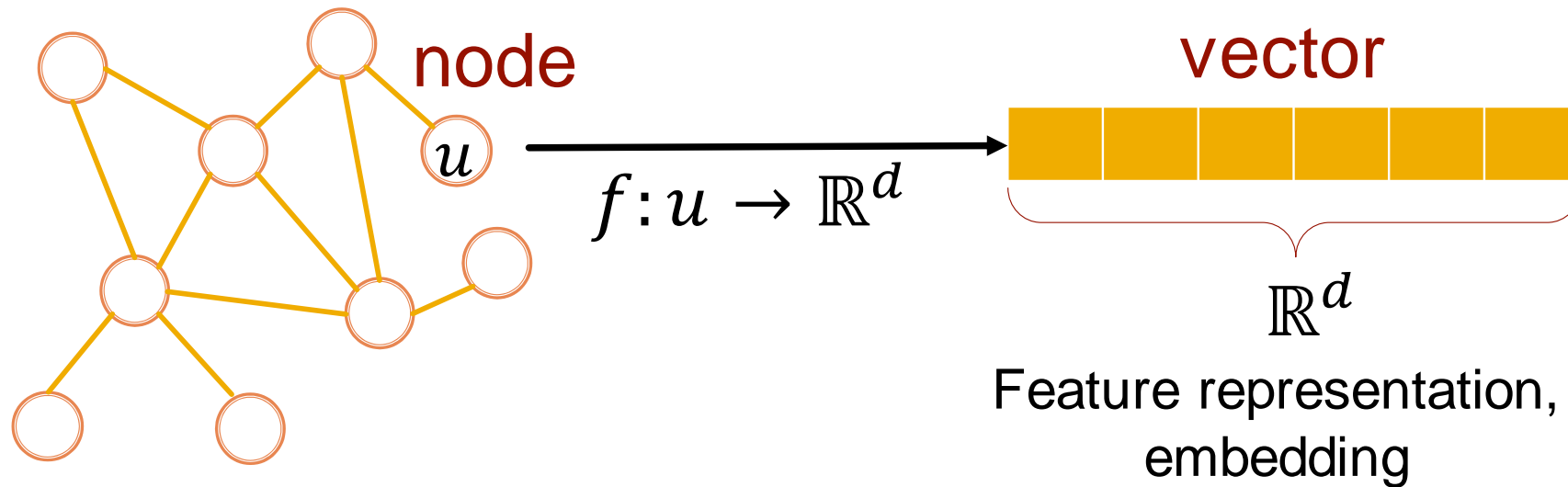
# Graph Representation Learning

**Graph Representation Learning alleviates the need to do feature engineering **every single time.****



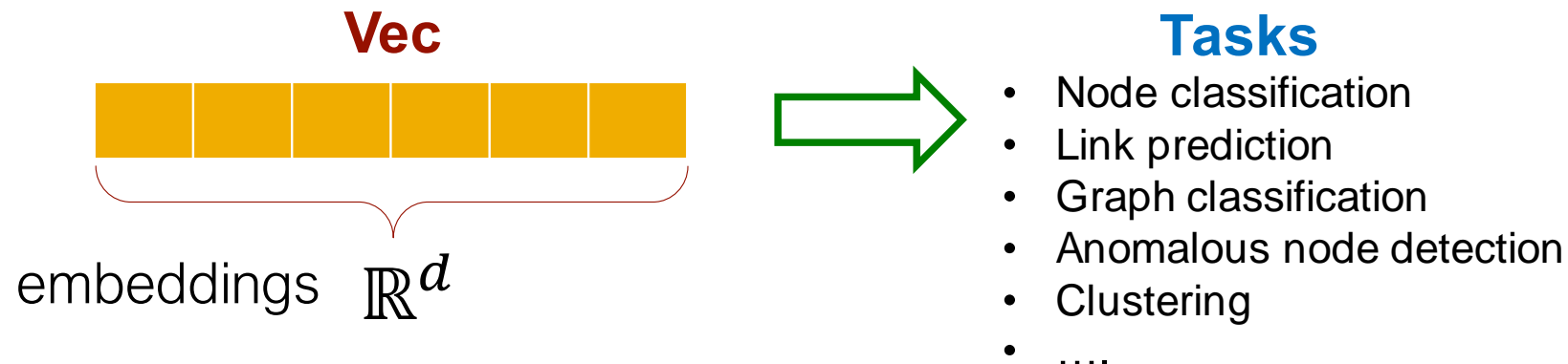
# Graph Representation Learning

**Goal:** Efficient task-independent feature learning for machine learning with graphs!



# Node Embedding

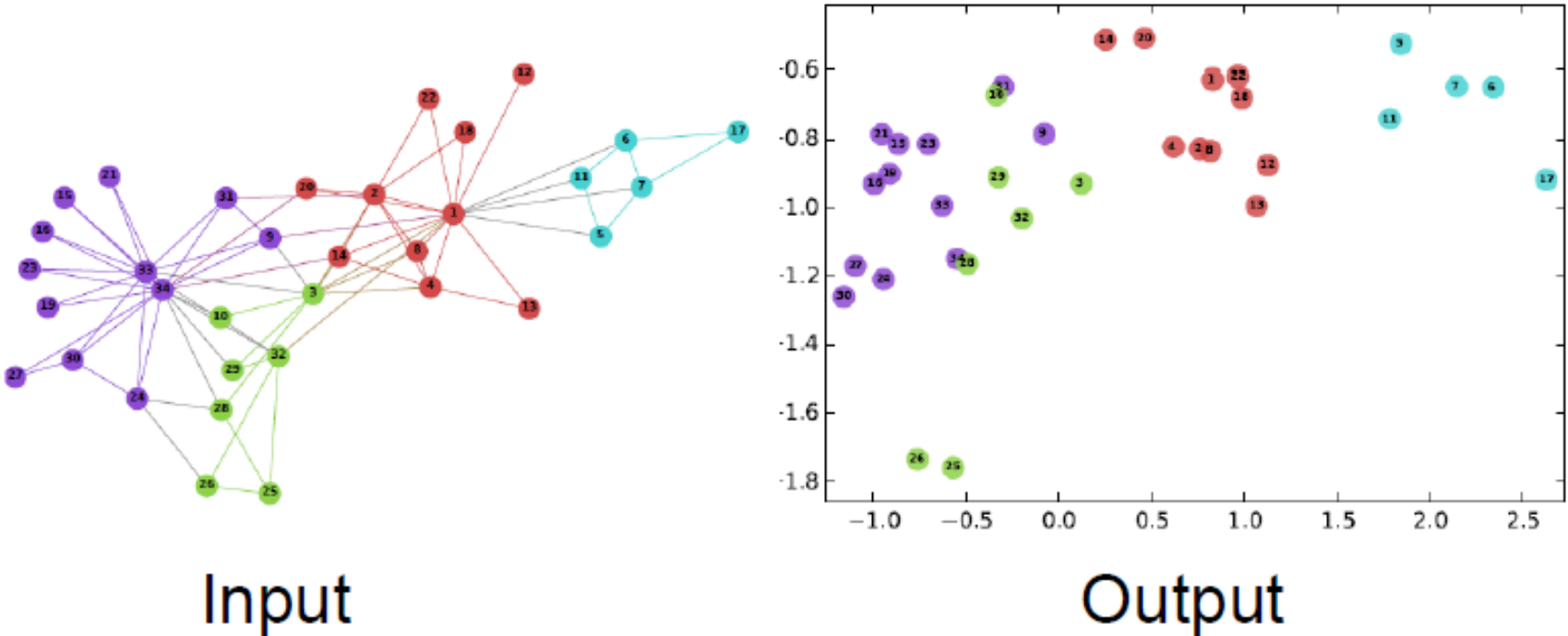
- **Task: Map nodes into an embedding space**
  - Similarity of embeddings between nodes indicates their similarity in the network. For example:
    - Both nodes are close to each other (connected by an edge)
  - Encode network information
  - Potentially used for many downstream predictions





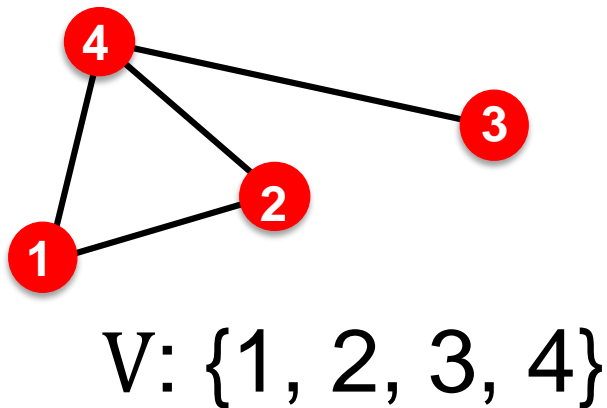
# Example Node Embedding

- 2D embedding of nodes of the Zachary's Karate Club network:



# Node Embedding: Setup

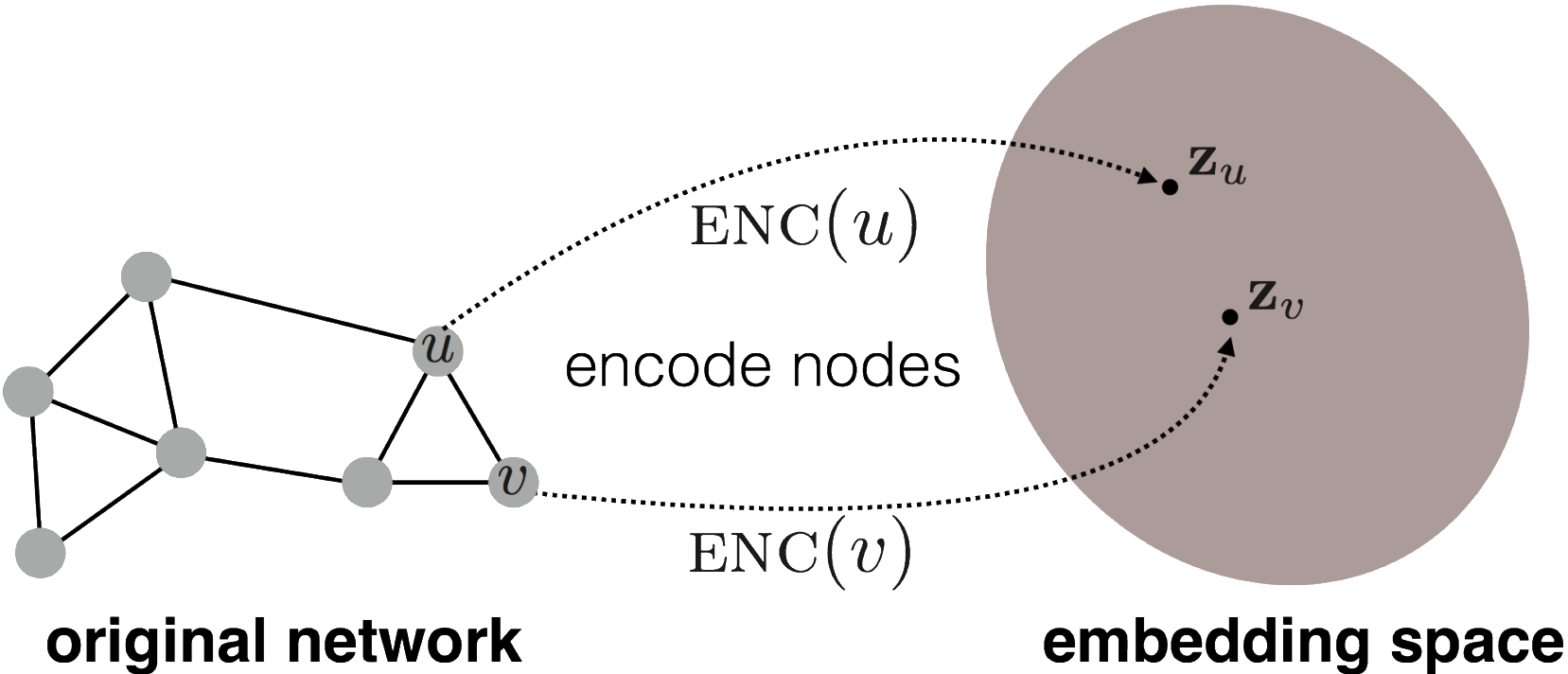
- Assume we have a graph  $G$ :
  - $V$  is the vertex set.
  - $A$  is the adjacency matrix (assume binary).
  - **For simplicity: No node features or extra information is used**



$$A = \begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$

# Node Embedding

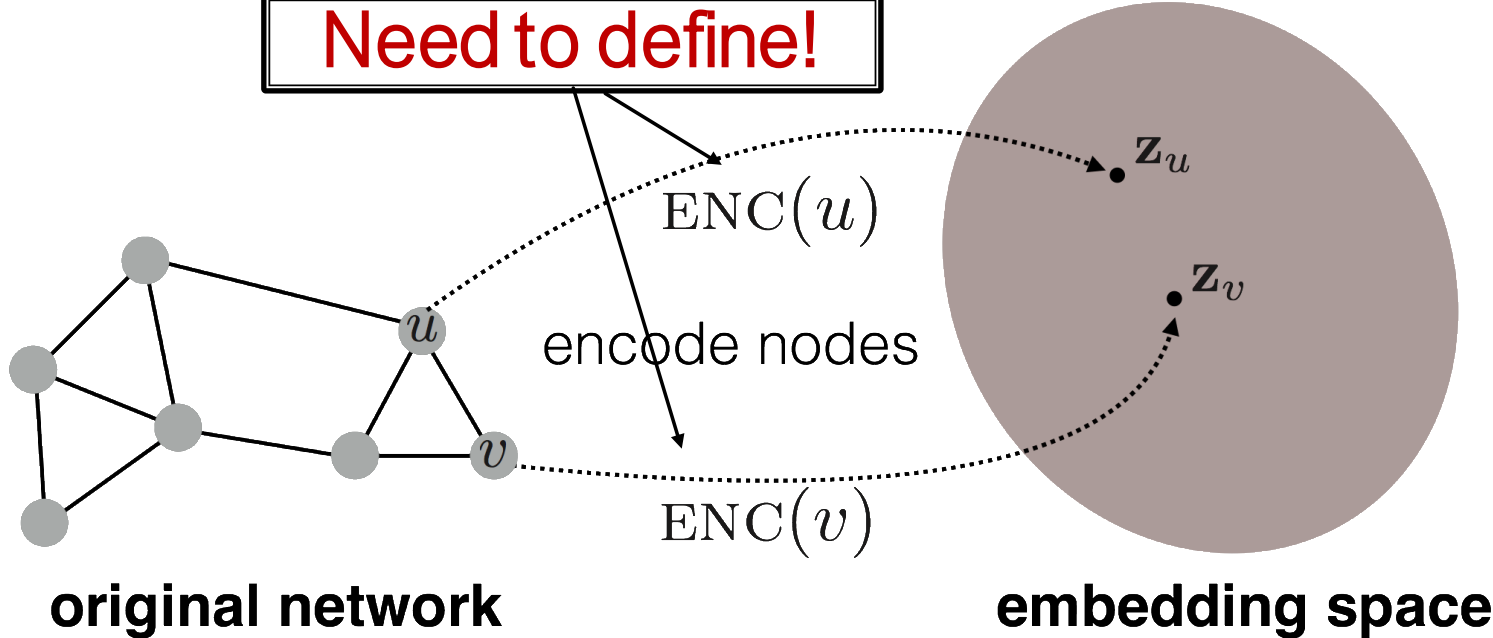
- Goal is to encode nodes so that **similarity in the embedding space** (e.g., dot product) approximates **similarity in the graph**



# Node Embedding

Goal:  $\text{similarity}(u, v)$  in the original network  $\approx \mathbf{z}_v^T \mathbf{z}_u$  Similarity of the embedding

**Need to define!**



# Node Embedding: Key Components

- **Encoder:** maps each node to a low-dimensional vector

$$\text{ENC}(v) = \mathbf{z}_v$$

node in the input graph

d-dimensional embedding

- **Similarity function:** specifies how the relationships in vector space map to the relationships in the original network

$$\text{similarity}(u, v) \approx \mathbf{z}_v^T \mathbf{z}_u$$

Similarity of  $u$  and  $v$  in the original network

dot product between node embeddings

**Decoder**

# “Shallow” Encoding

Simplest encoding approach: **Encoder is just an embedding-lookup**

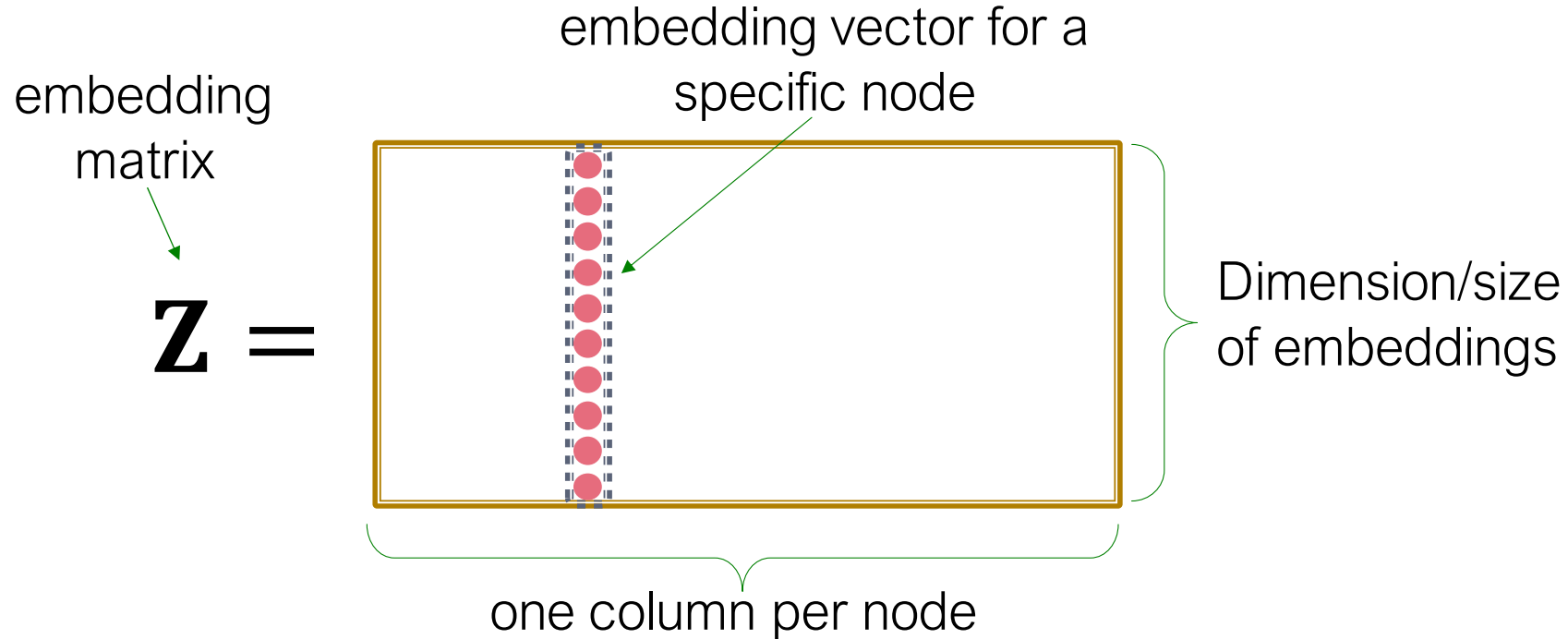
$$\text{ENC}(v) = \mathbf{z}_v = \mathbf{Z} \cdot v$$

$\mathbf{Z} \in \mathbb{R}^{d \times |\mathcal{V}|}$  matrix, each column is a node embedding [what we learn / optimize]

$v \in \mathbb{I}^{|\mathcal{V}|}$  indicator vector, all zeroes except a one in column indicating node  $v$

# “Shallow” Encoding

Simplest encoding approach: **encoder is just an embedding-lookup**



# “Shallow” Encoding

Simplest encoding approach: **Encoder is just an embedding-lookup**

**Each node is assigned a unique embedding vector**

(i.e., we directly optimize the embedding of each node)

Many methods: DeepWalk, node2vec



# Summary so far

- **Encoder + Decoder Framework**
  - Shallow encoder: embedding lookup
  - Parameters to optimize:  $\mathbf{Z}$  which contains node embeddings  $\mathbf{z}_u$  for all nodes  $u \in V$
  - We will cover deep encoders in the GNNs
  - **Decoder:** based on node similarity.
  - **Objective:** maximize  $\mathbf{z}_v^T \mathbf{z}_u$  for node pairs  $(u, v)$  that are **similar**

## Discussion: How to Define Node Similarity?

- Key choice of methods is **how they define node similarity.**
- Should two nodes have a similar embedding if they...
  - are linked?
  - share neighbors?
  - have similar “structural roles”?

# Node Embedding: Key Components

- **Encoder:** maps each node to a low-dimensional vector

$$\text{ENC}(v) = \mathbf{z}_v$$

node in the input graph →  $\mathbf{z}_v$  ← d-dimensional embedding

- **Similarity function:** specifies how the relationships in vector space map to the relationships in the original network

$$\text{similarity}(u, v) \approx \mathbf{z}_v^T \mathbf{z}_u$$

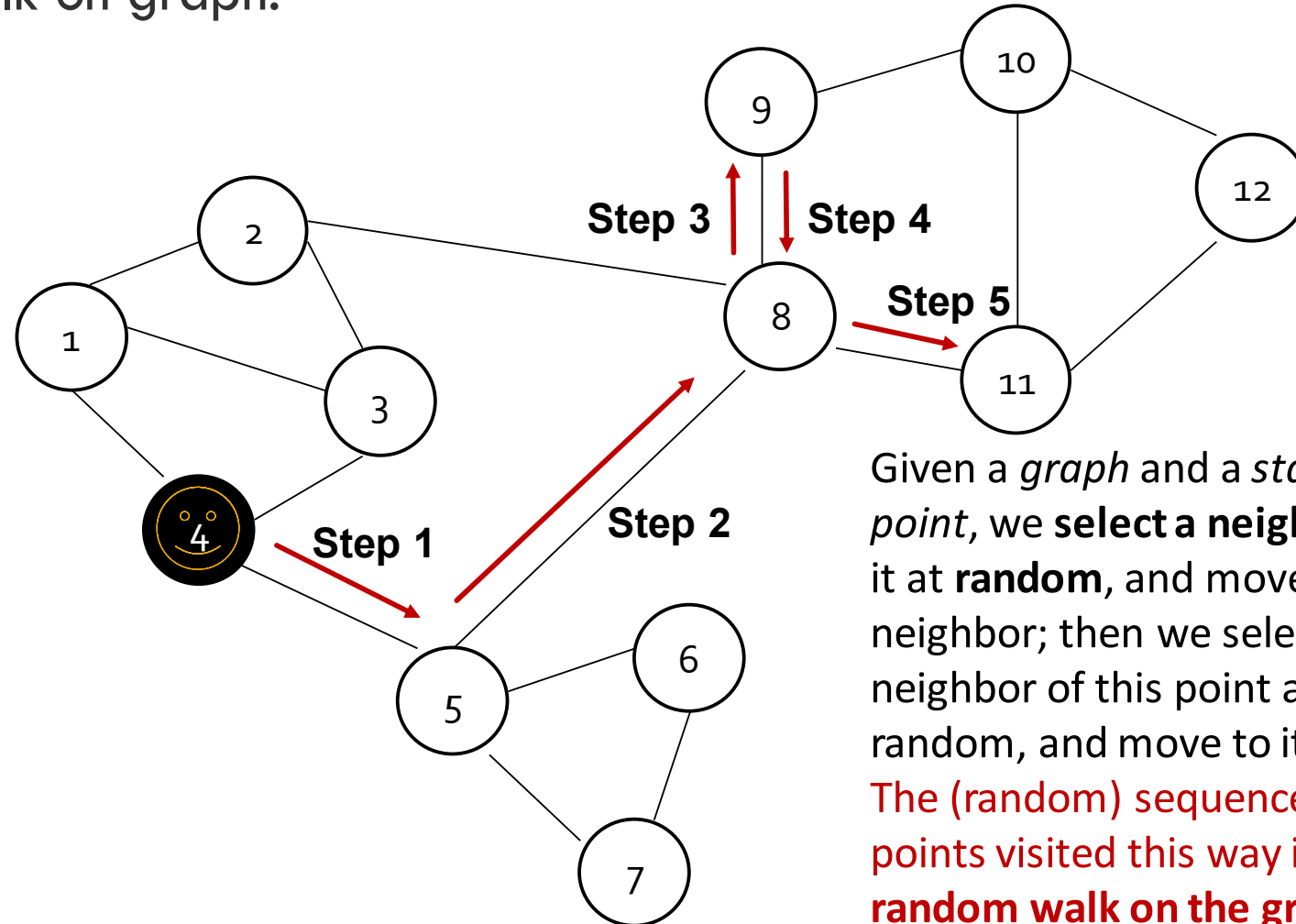
Similarity of  $u$  and  $v$  in the original network →  $\text{similarity}(u, v)$

$\mathbf{z}_v^T \mathbf{z}_u$  ← dot product between node embeddings

**Decoder**

# Similarity Function based on Random Walk

Random walk on graph:



Given a *graph* and a *starting point*, we **select a neighbor** of it at **random**, and move to this neighbor; then we select a neighbor of this point at random, and move to it, etc. **The (random) sequence of points visited this way is a random walk on the graph.**

## Similarity Function based on Random Walk

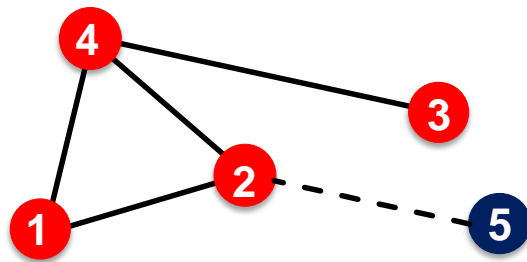
$$\mathbf{z}_u^T \mathbf{z}_v \approx \text{probability that } u \text{ and } v \text{ co-occur on a random walk over the graph}$$

# Why Random Walk?

1. **Expressivity:** Flexible stochastic definition of node similarity that **incorporates both local and higher-order neighborhood information**  
**Idea:** if random walk starting from node  $u$  visits  $v$  with high probability,  $u$  and  $v$  are similar (high-order multi-hop information)
2. **Efficiency:** Do not need to consider all node pairs when training; **only need to consider pairs that co-occur on random walks**

# Limitations of Random Walk Embedding (1)

- Cannot obtain embeddings for nodes not in the training set



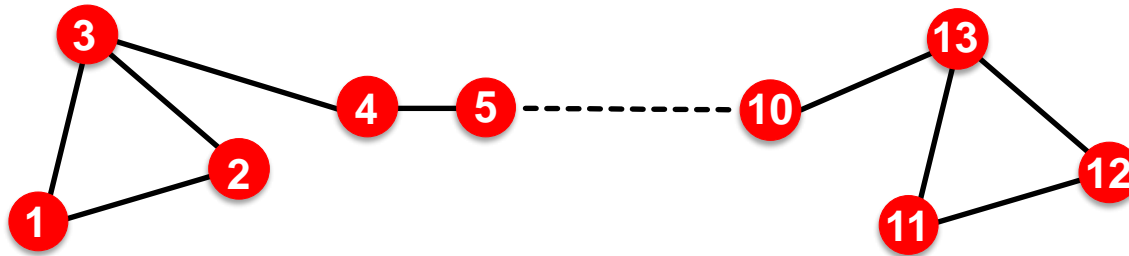
Training set

A newly added node 5 at test time  
(e.g., new user in a social network)

Cannot compute its embedding  
with DeepWalk / node2vec. Need to  
recompute all node embeddings.

## Limitations of Random Walk Embedding (2)

- Cannot capture **structural similarity**:

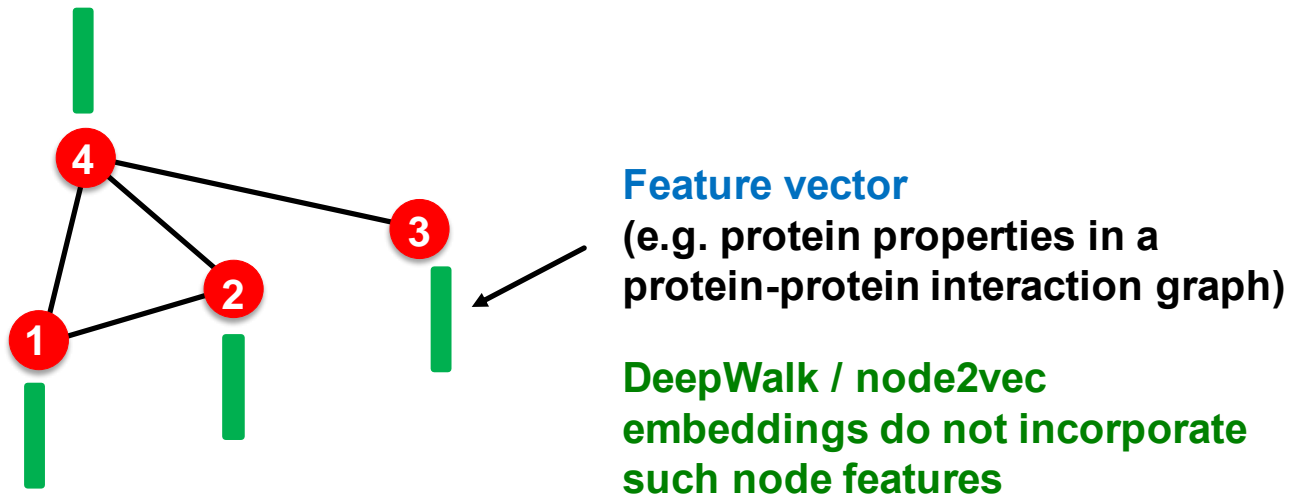


- Node 1 and 11 are **structurally similar** – part of one triangle, degree 2, ...
- However, they have very **different** embeddings.
  - It's unlikely that a random walk will reach node 11 from node 1.



# Limitations of Random Walk Embedding (3)

- Cannot utilize node, edge and graph features



**Solution to these limitations: Deep Representation Learning and Graph Neural Networks**

# Summary

- **Encoder + Decoder Framework**
  - Shallow encoder: embedding lookup
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  - We will cover deep encoders in the GNNs
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# Graph Neural Networks (GNNs)

Slides adapted from:

- Jure Leskovec, Stanford CS224W: Machine Learning with Graphs

# Deep Graph Encoders

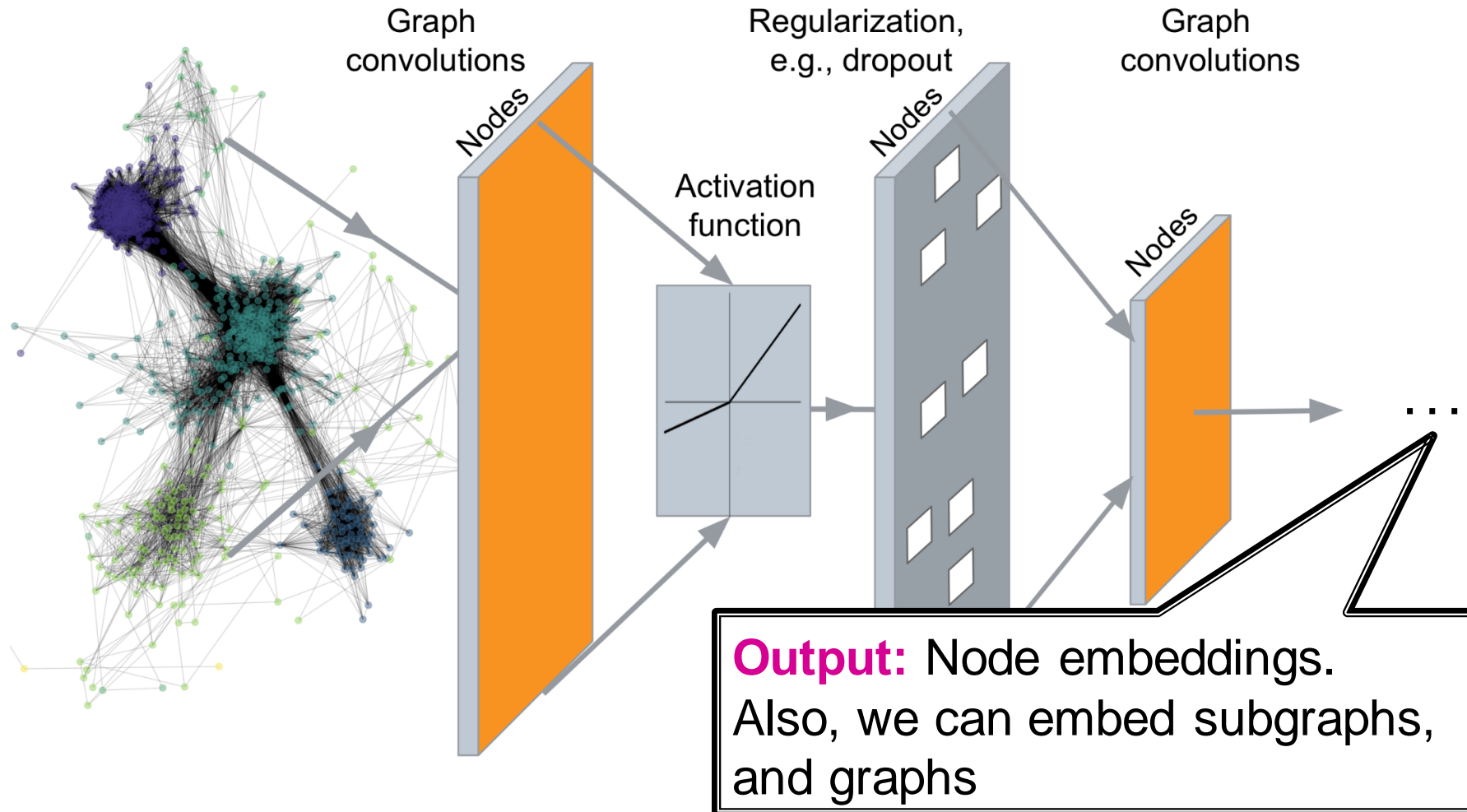
- Encoding based on graph neural networks

$$\text{ENC}(v) = \text{multiple layers of non-linear transformations based on graph structure}$$

v.s. **Shallow Encoder:**

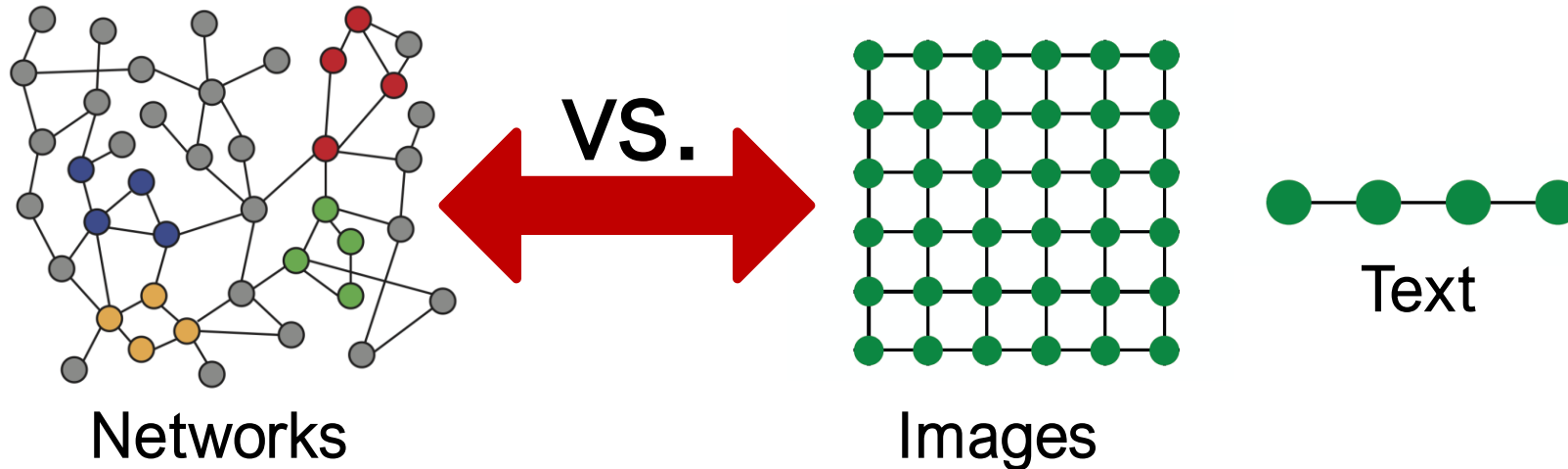
$$\text{ENC}(v) = \mathbf{z}_v = \mathbf{Z} \cdot v$$

# Deep Graph Encoders



# Graphs are more complex than images / text

- Arbitrary size and complex topological structure (i.e., no spatial locality like grids)



- No fixed node ordering or reference point
- Often dynamic and have multimodal features

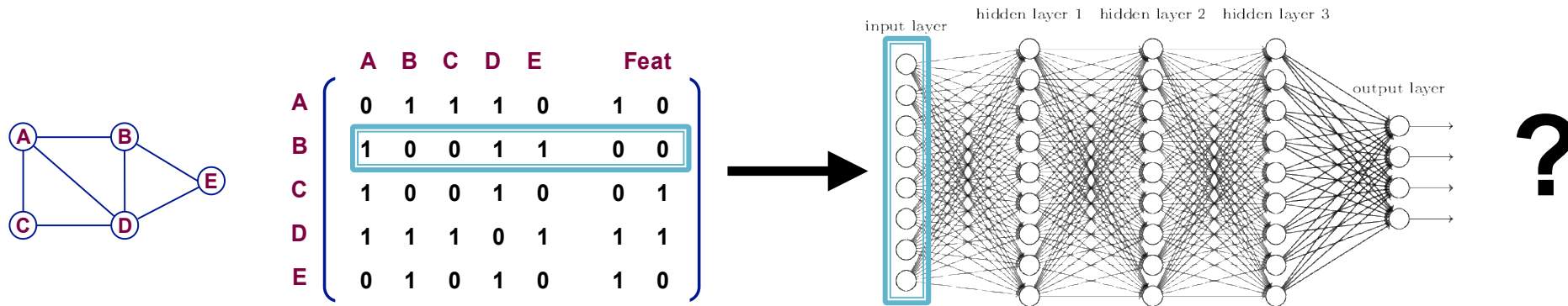
# Graph Neural Networks: Setup

- **Assume we have a graph  $G$ :**
  - $V$  is the **vertex set**
  - $A$  is the **adjacency matrix** (assume binary)
  - $X \in \mathbb{R}^{|V| \times d}$  is a matrix of **node features**
  - $v$ : a node in  $V$ ;  $N(v)$ : the set of neighbors of  $v$ .
  - **Node features:**
    - Social networks: User profile, User image
    - Biological networks: Gene expression profiles, gene functional information
    - When there is no node feature in the graph dataset:
      - Indicator vectors (one-hot encoding of a node)
      - Vector of constant 1:  $[1, 1, \dots, 1]$



# A Naïve Approach

- Join adjacency matrix and features
- Feed them into a deep neural net:

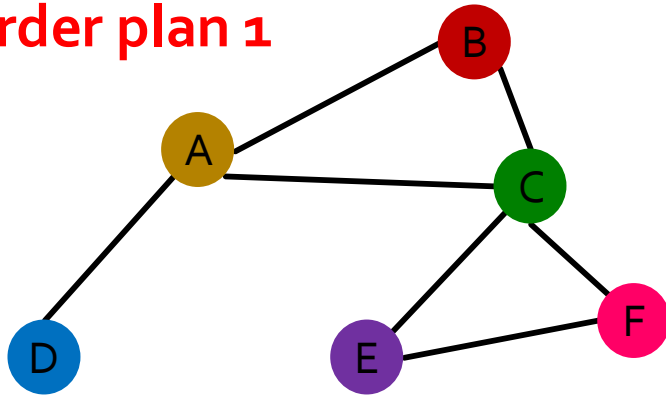


- **Issues with this idea:**
  - $O(|V|)$  parameters
  - Not applicable to graphs of different sizes
  - Sensitive to node ordering

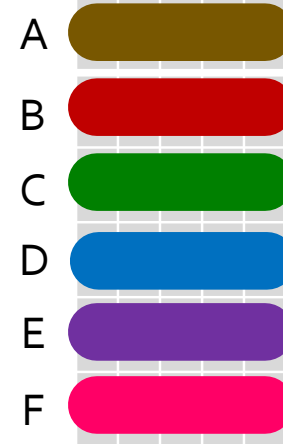
# Permutation Invariance

- Graph does not have a canonical order of the nodes!

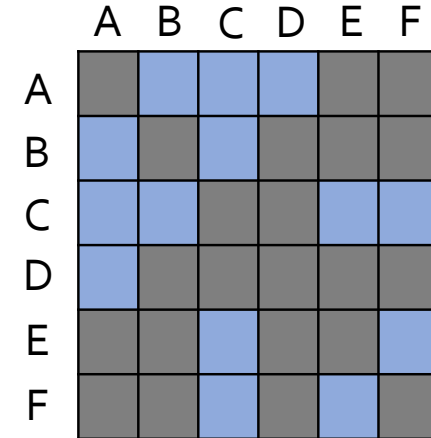
Order plan 1



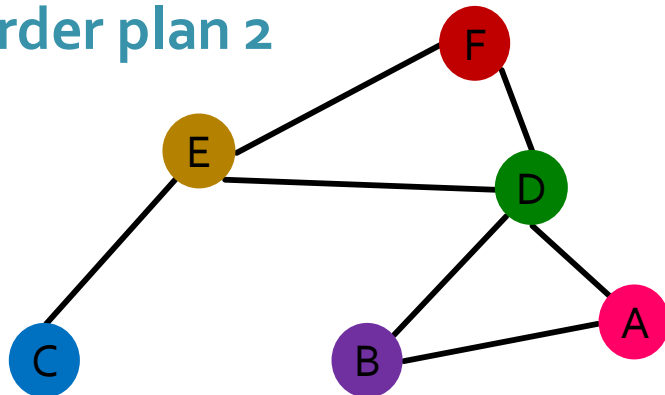
Node features  $X_1$



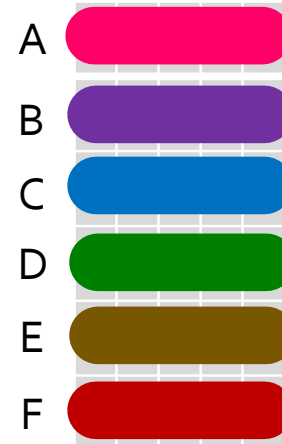
Adjacency matrix  $A_1$



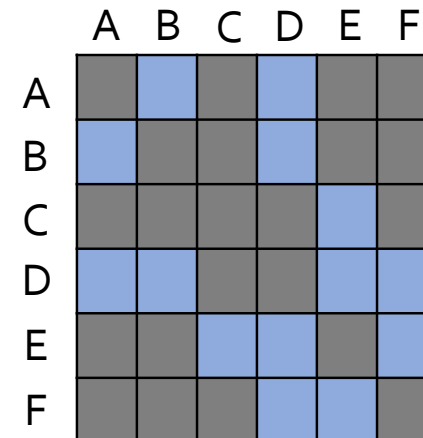
Order plan 2



Node features  $X_2$



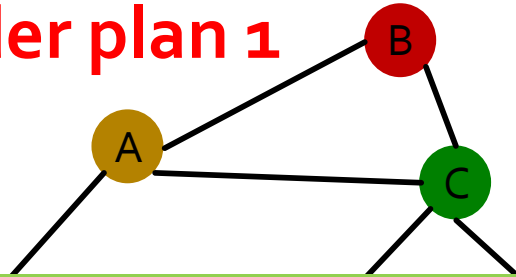
Adjacency matrix  $A_2$



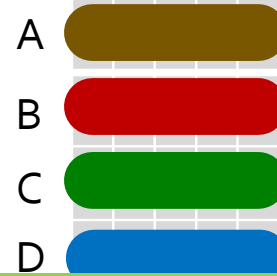
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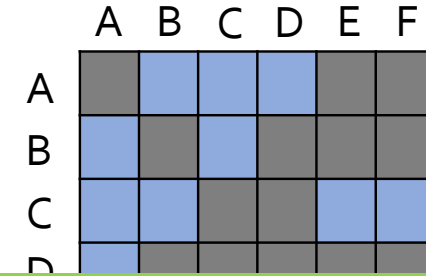
Order plan 1



Node feature  $X_1$

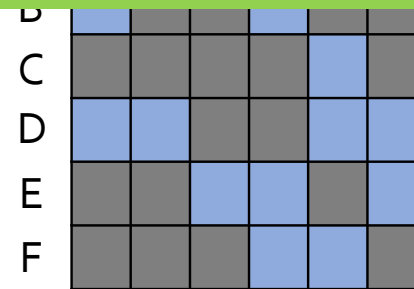
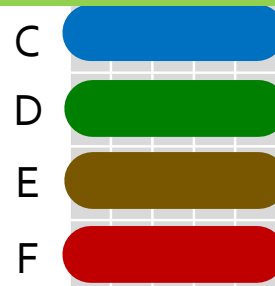
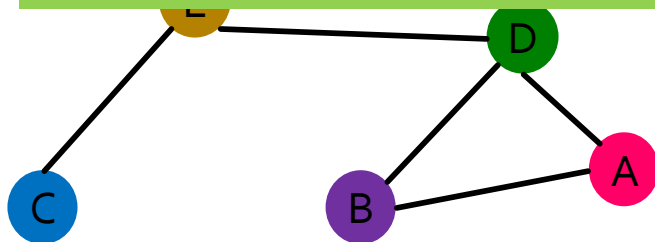


Adjacency matrix  $A_1$



Graph and node representations should be the same for **Order plan 1** and **Order plan 2**

Order plan 2



# Permutation Invariance

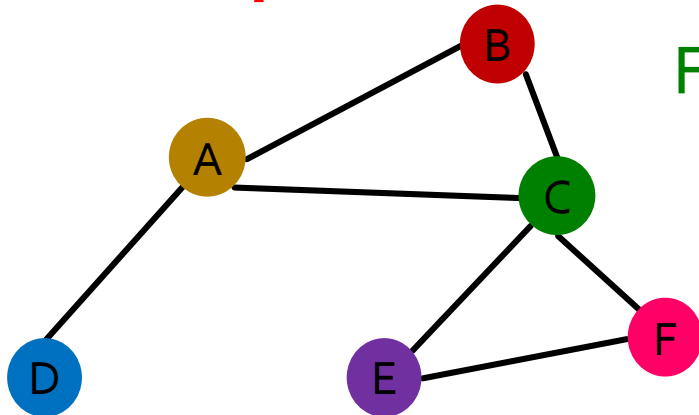
What does it mean by “graph representation is same for two order plans”?

- Consider we learn a function  $f$  that maps a graph  $G = (A, X)$  to a vector  $\mathbb{R}^d$  then

$$f(A_1, X_1) = f(A_2, X_2)$$

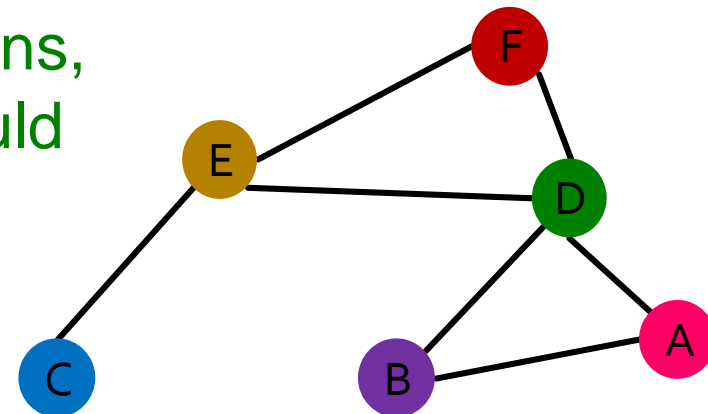
$A$  is the adjacency matrix  
 $X$  is the node feature matrix

Order plan 1:  $A_1, X_1$



For two order plans,  
output of  $f$  should  
be the same!

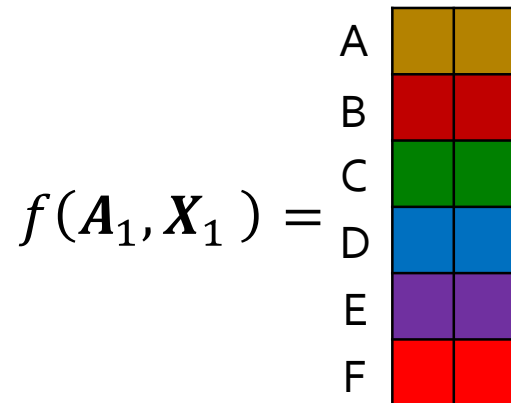
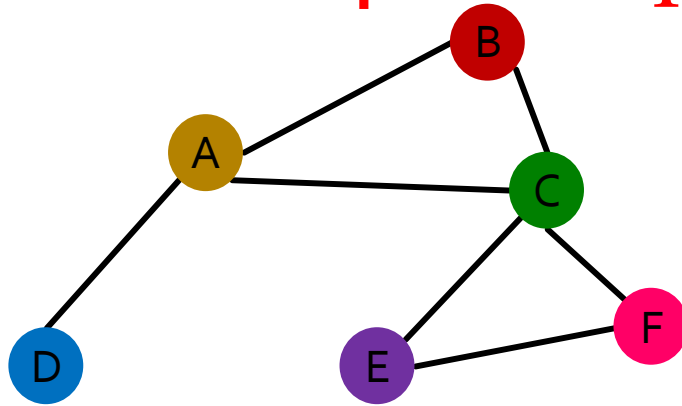
Order plan 2:  $A_2, X_2$



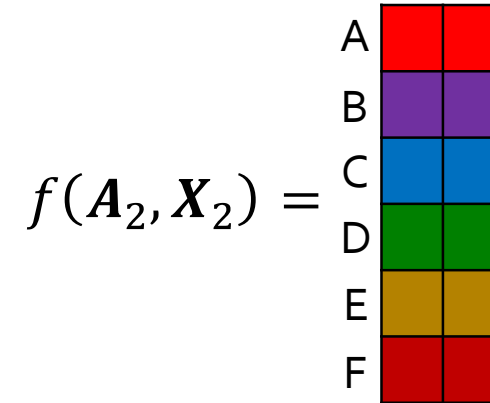
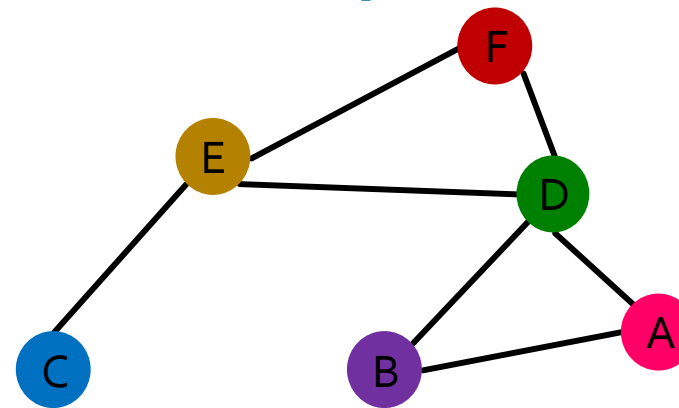
# Permutation Equivariance

**For node representation:** We learn a function  $f$  that maps nodes of  $G$  to a matrix  $\mathbb{R}^{m \times d}$ .

**Order plan 1:  $A_1, X_1$**



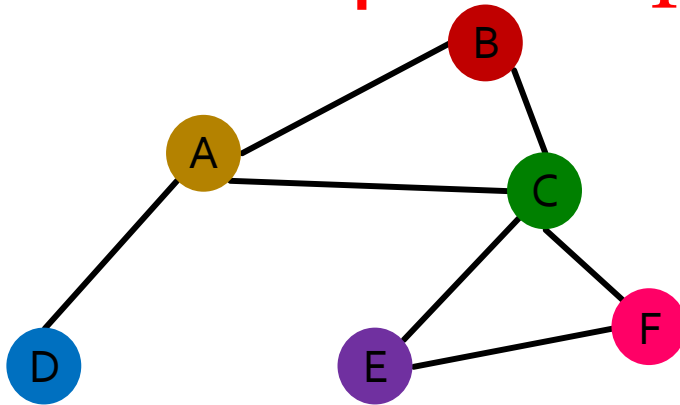
**Order plan 2:  $A_2, X_2$**



# Permutation Equivariance

**For node representation:** We learn a function  $f$  that maps nodes of  $G$  to a matrix  $\mathbb{R}^{m \times d}$ .

**Order plan 1:  $A_1, X_1$**



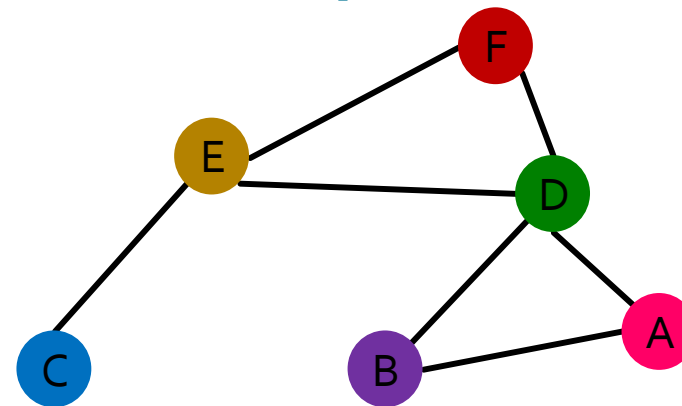
Representation vector of the brown node A



$$f(A_1, X_1) =$$

A	■	■
B	■	■
C	■	■
D	■	■
E	■	■
F	■	■

**Order plan 2:  $A_2, X_2$**



A	■	■
B	■	■
C	■	■
D	■	■
E	■	■
F	■	■

$$f(A_2, X_2) =$$

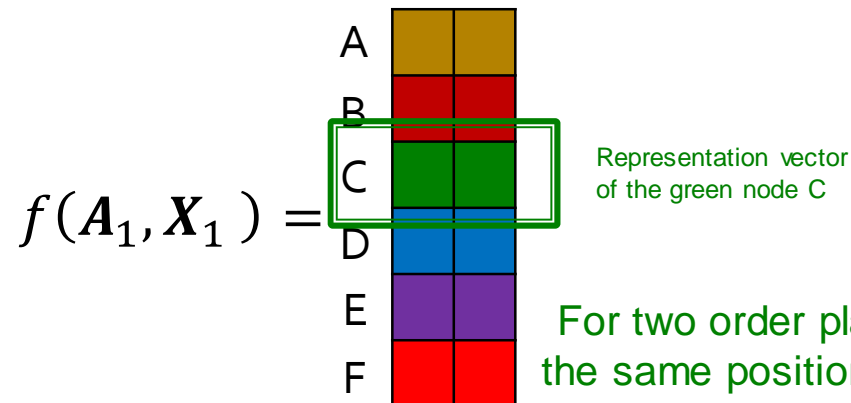
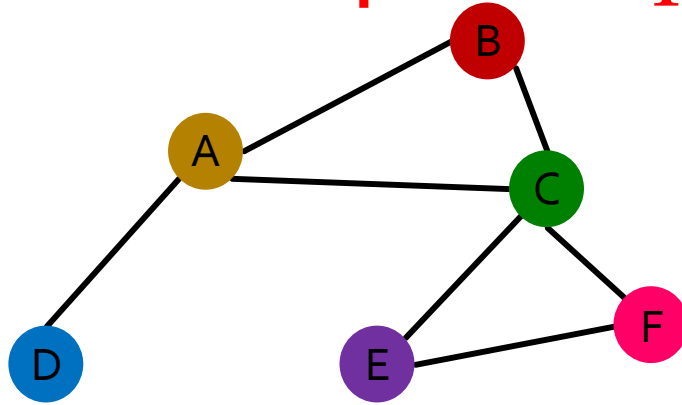
Representation vector of the brown node E

For two order plans, the vector of node at the same position in the graph is the same!

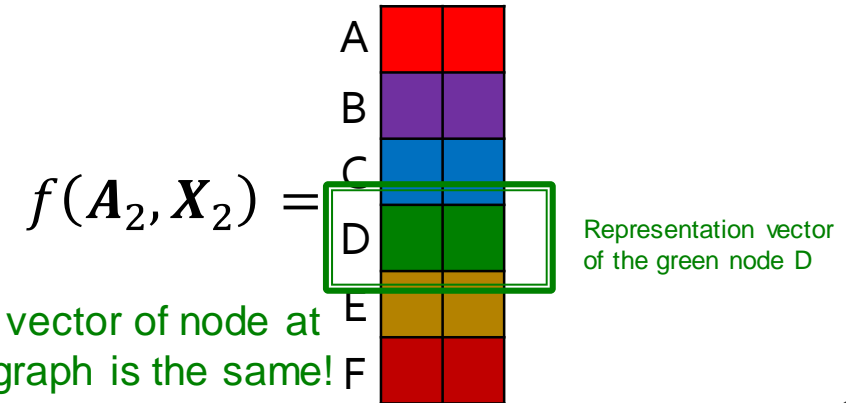
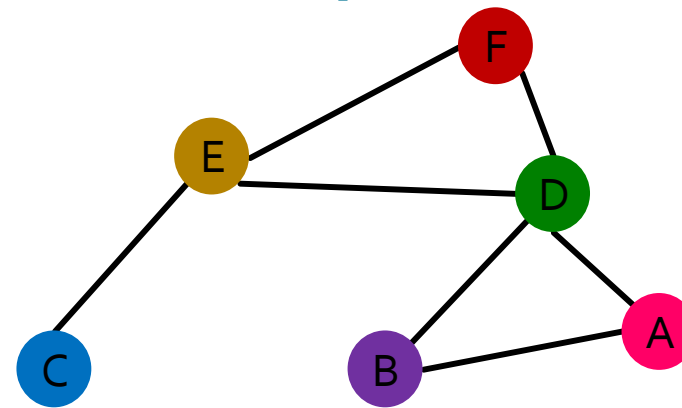
# Permutation Equivariance

**For node representation:** We learn a function  $f$  that maps nodes of  $G$  to a matrix  $\mathbb{R}^{m \times d}$ .

**Order plan 1:  $A_1, X_1$**



**Order plan 2:  $A_2, X_2$**



For two order plans, the vector of node at the same position in the graph is the same!

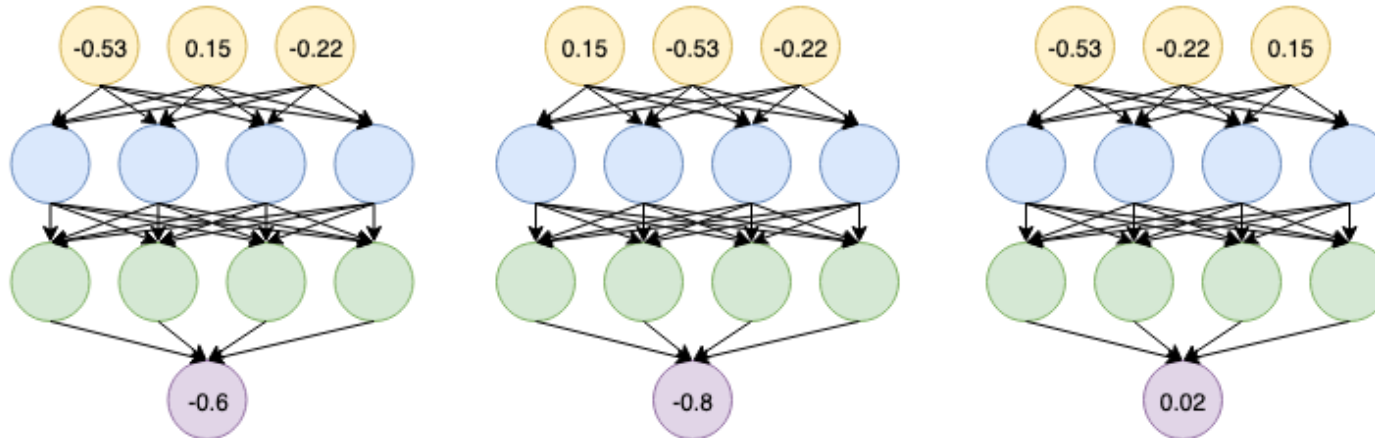
# Graph Neural Networks Overview

- GNNs consist of multiple permutation equivariant / invariant functions

**Are other neural network architectures, e.g., MLPs, permutation invariant / equivariant?**

■ **No.**

Switching the order of the input leads to different outputs!



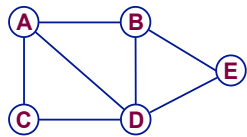


# Graph Neural Networks Overview

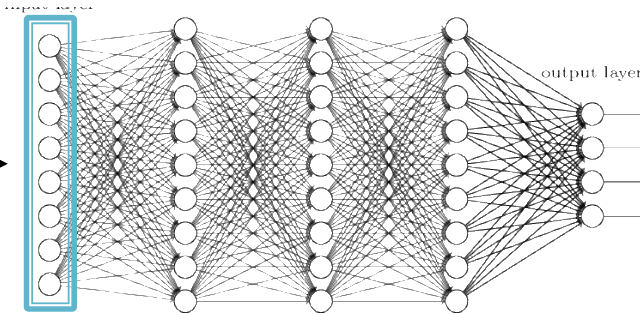
- GNNs consist of multiple permutation equivariant / invariant functions

**Are other neural network architectures, e.g., MLPs, permutation invariant / equivariant?**

■ **No.**



	A	B	C	D	E	Feat	
A	0	1	1	1	0	1	0
B	1	0	0	1	1	0	0
C	1	0	0	1	0	0	1
D	1	1	1	0	1	1	1
E	0	1	0	1	0	1	0



?

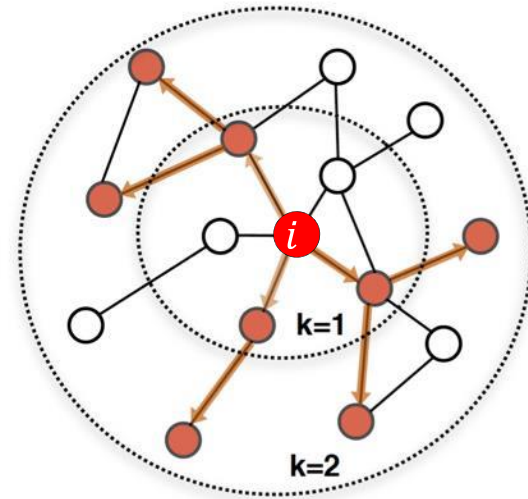
This explains why **the naïve MLP approach fails for graphs!**

# Graph Neural Networks Overview

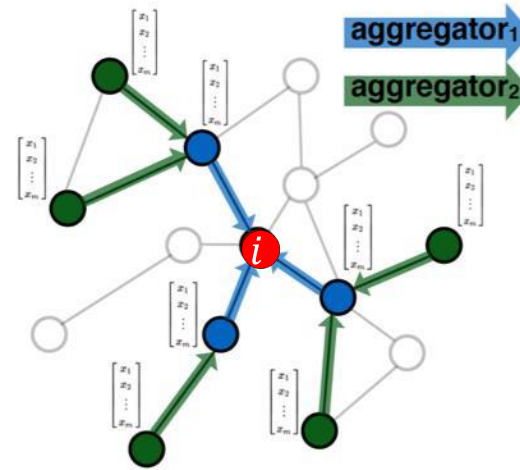
- GNNs consist of multiple permutation equivariant / invariant functions
- Next: Permutation equivariant / invariant by **passing and aggregating information from neighbors**

# Graph Convolutional Networks

**Idea:** Node's neighborhood defines a computation graph



Determine node computation graph



Propagate and transform information

Learn how to propagate information across the graph to compute node features

**Questions?**