# DSC291: Machine Learning with Few Labels

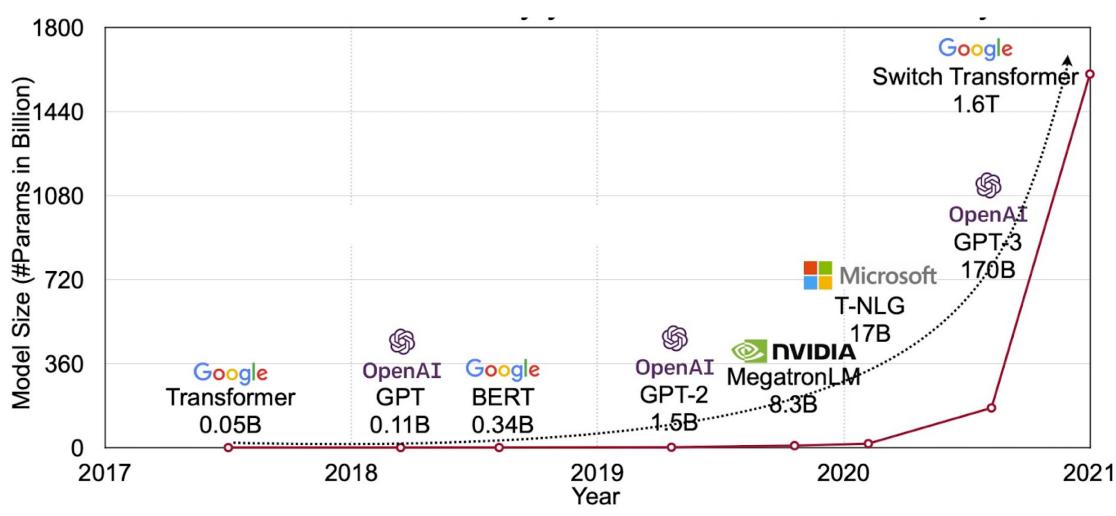
Large Language Models Self-Supervised Learning

Zhiting Hu Lecture 5, April 10, 2024



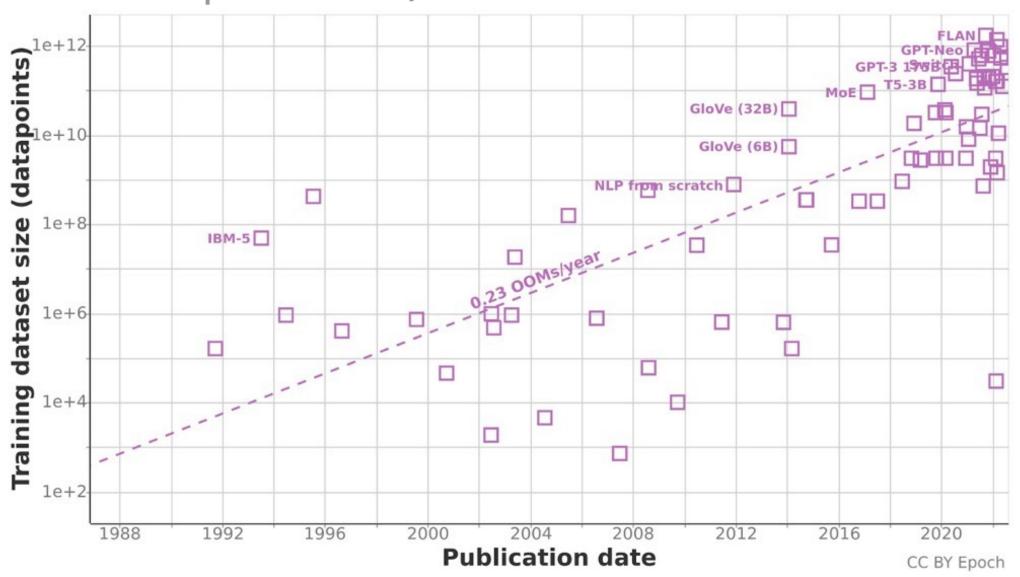
#### Large Language Models: More model parameters

NLP's Moore's Law: Every year model size increases by 10x

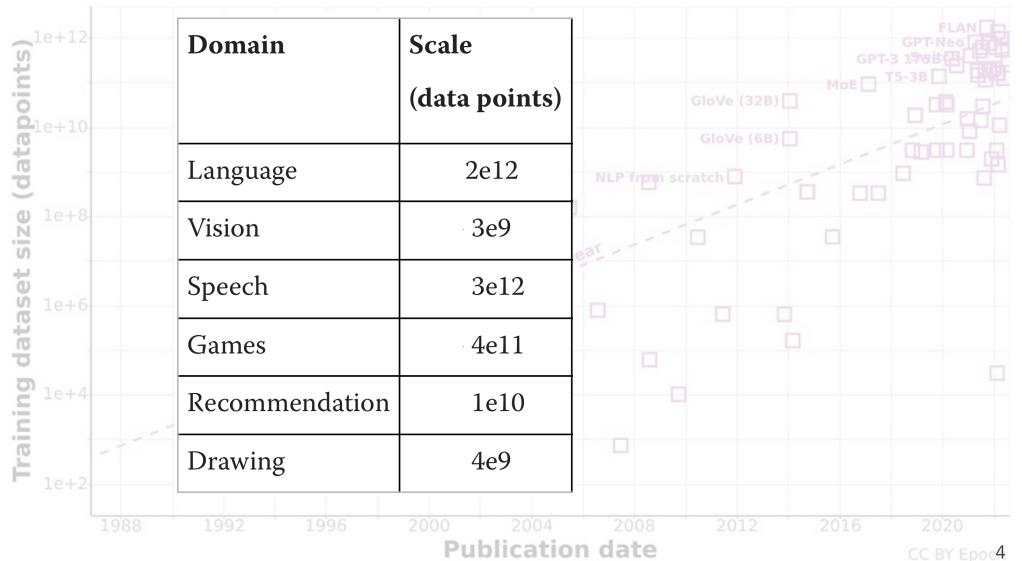


#### Large Language Models:

More model parameters, more data

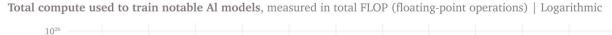


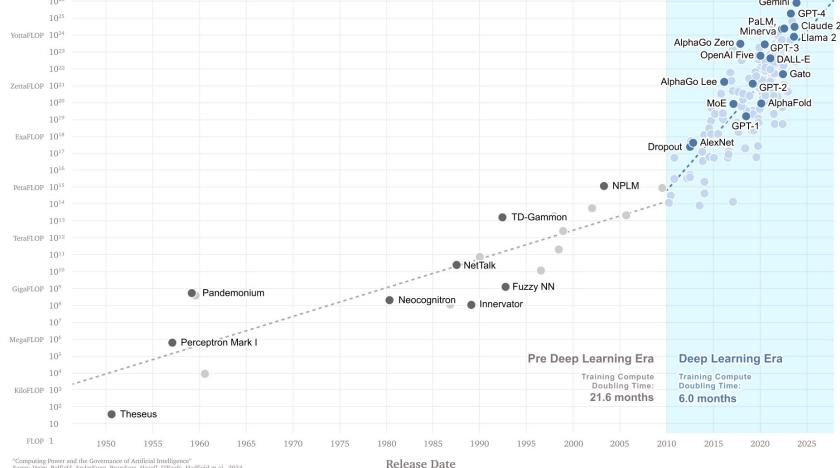
#### Large Language Models: More model parameters, more data



#### Large Language Models: More model parameters, more data, more computing

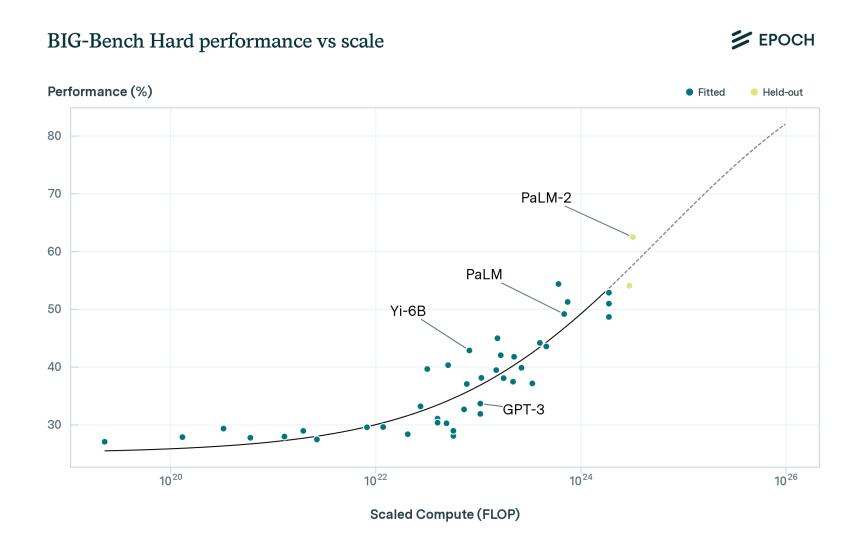
#### **Compute Used for AI Training Runs**





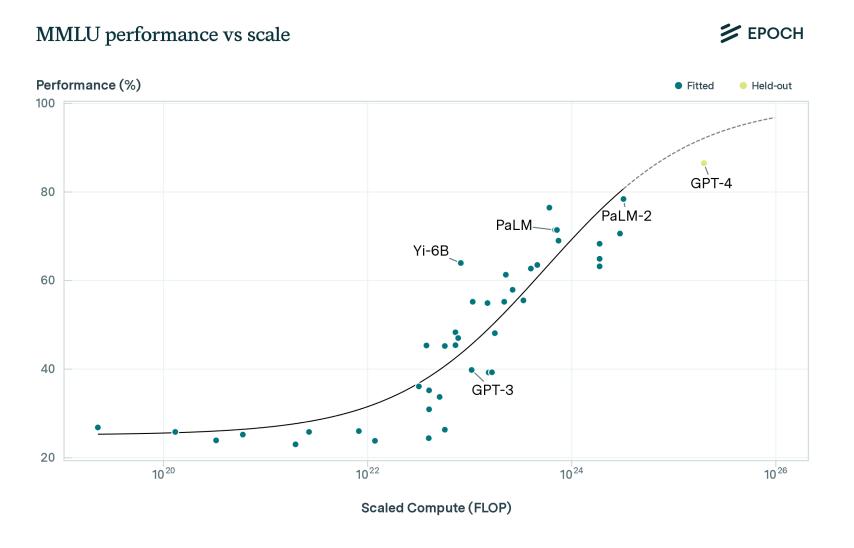
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#### Large Language Models:

#### More model parameters, more data, more computing



#### Language models: Summary so far

- So far, we've talked about the model architectures and inference of LMs
  - Model architecture: Transformers
  - Inference: next word prediction (sampling tokens at each step)
- Next: training of LMs

ML solution:  $\min_{\theta} \mathcal{L}(\theta, \mathcal{E})$ 

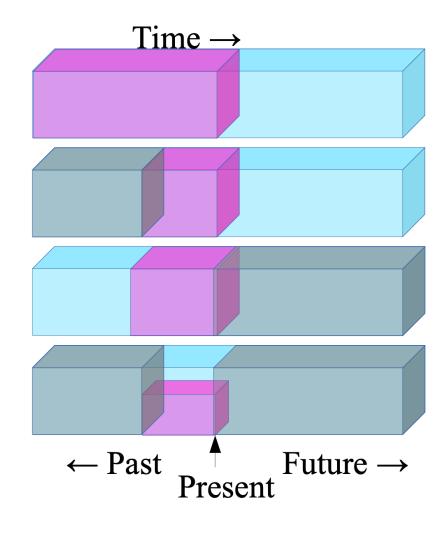
# Self-Supervised Learning

## Terminology

- Supervised Learning
- Semi-supervised Learning
- Weakly-supervised Learning
- Self-supervised Learning
- Unsupervised Learning
- All need some forms of supervision, or experience

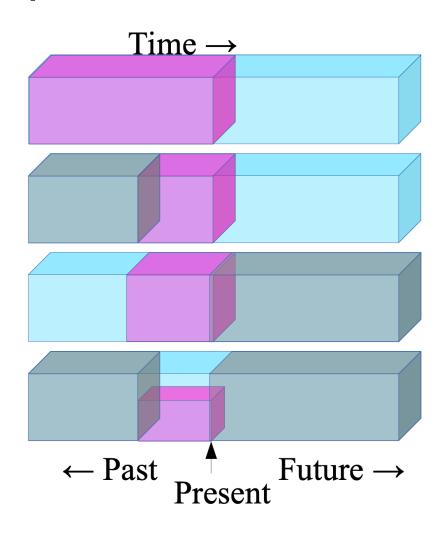
### Self-Supervised Learning: Examples

- Predict any part of the input from any other part.
- Predict the future from the past.
- **▶** Predict the future from the recent past.
- Predict the past from the present.
- Predict the top from the bottom.



### Self-Supervised Learning: Examples

- Predict any part of the input from any other part.
- Predict the future from the past.
- ► Predict the future from the recent past.
- Predict the past from the present.
- Predict the top from the bottom.
- Predict the occluded from the visible
- Pretend there is a part of the input you don't know and predict that.



## Self-Supervised Learning: Motivation (I)

Our brains do this all the time

- Filling in the visual field at the retinal blind spot
- Filling in occluded images, missing segments in speech
- Predicting the state of the world from partial (textual) descriptions
- Predicting the consequences of our actions
- Predicting the sequence of actions leading to a result
- Predicting any part of the past, present or future percepts from whatever information is available.



## Self-Supervised Learning: Motivation (I)

- Successfully learning to predict everything from everything else would result in the accumulation of lots of background knowledge about how the world works
- The model is forced to learn what we really care about, e.g. a semantic representation, in order to solve the prediction problem

[Courtesy: Lecun "Self-supervised Learning"]

[Courtesy: Zisserman "Self-supervised Learning"]

### Self-Supervised Learning: Motivation (II)

- The machine predicts any part of its input from any observed part
  - A lot of supervision signals in each data instance
- Untapped/availability of vast numbers of unlabeled text/images/videos...
  - Facebook: one billion images uploaded per day
  - 300 hours of video are uploaded to YouTube every minute

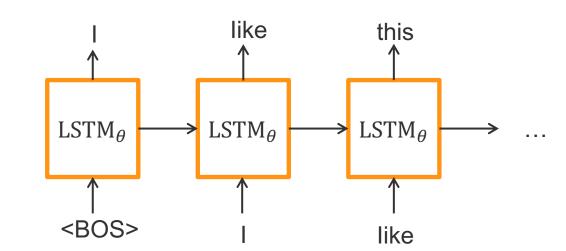
### SSL in Language Models

- Calculates the probability of a sentence:
  - Sentence:

$$\mathbf{y} = (y_1, y_2, ..., y_T)$$
 (I, like, this, ...) 
$$p_{\theta}(\mathbf{y}) = \prod_{t=1}^{T} p_{\theta}(y_t \mid \mathbf{y}_{1:t-1})$$
 ...  $p_{\theta}$  (like | I)  $p_{\theta}$  (this | I, like) ...

Example:

Model: LSTM RNN



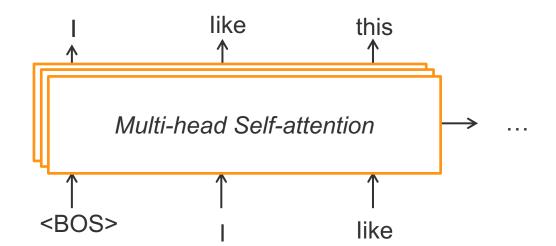
### SSL in Language Models

- Calculates the probability of a sentence:
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Example:

Model: Transformer



## SSL in Language Models: Training

- Given data example  $y^*$
- Minimizes negative log-likelihood of the data

$$\min_{\theta} \mathcal{L}_{\text{MLE}} = -\log p_{\theta}(\mathbf{y}^*) = -\prod_{t=1}^{T} p_{\theta}(y_t^* \mid \mathbf{y}_{1:t-1}^*)$$

### SSL in Language Models: GPT3

- A Transformer-based LM with 125M to 175B parameters
- Trained on massive text data

Dataset	# Tokens (Billions)
Total	499
Common Crawl (filtered by quality)	410
WebText2	19
Books1	12
Books2	55
Wikipedia	3

Brown et al., 2020 "Language Models Are Few-Shot Learners"

[Table from https://lambdalabs.com/blog/demystifying-gpt-3/]

## Other examples of self-supervised learning (SSL)

- Learning contextual text representations
- Learning image / video representations

- Conventional word embedding:
  - Word2vec, Glove
  - A pre-trained matrix, each row is an embedding vector of a word

	0	1	2	3	4	5	6	7	8	9	
fox	-0.348680	-0.077720	0.177750	-0.094953	-0.452890	0.237790	0.209440	0.037886	0.035064	0.899010	
ham	-0.773320	-0.282540	0.580760	0.841480	0.258540	0.585210	-0.021890	-0.463680	0.139070	0.658720	
brown	-0.374120	-0.076264	0.109260	0.186620	0.029943	0.182700	-0.631980	0.133060	-0.128980	0.603430	
beautiful	0.171200	0.534390	-0.348540	-0.097234	0.101800	-0.170860	0.295650	-0.041816	-0.516550	2.117200	
jumps	-0.334840	0.215990	-0.350440	-0.260020	0.411070	0.154010	-0.386110	0.206380	0.386700	1.460500	
eggs	-0.417810	-0.035192	-0.126150	-0.215930	-0.669740	0.513250	-0.797090	-0.068611	0.634660	1.256300	
beans	-0.423290	-0.264500	0.200870	0.082187	0.066944	1.027600	-0.989140	-0.259950	0.145960	0.766450	
sky	0.312550	-0.303080	0.019587	-0.354940	0.100180	-0.141530	-0.514270	0.886110	-0.530540	1.556600	
bacon	-0.430730	-0.016025	0.484620	0.101390	-0.299200	0.761820	-0.353130	-0.325290	0.156730	0.873210	-
breakfast	0.073378	0.227670	0.208420	-0.456790	-0.078219	0.601960	-0.024494	-0.467980	0.054627	2.283700	
toast	0.130740	-0.193730	0.253270	0.090102	-0.272580	-0.030571	0.096945	-0.115060	0.484000	0.848380	
today	-0.156570	0.594890	-0.031445	-0.077586	0.278630	-0.509210	-0.066350	-0.081890	-0.047986	2.803600	
blue	0.129450	0.036518	0.032298	-0.060034	0.399840	-0.103020	-0.507880	0.076630	-0.422920	0.815730	
green	-0.072368	0.233200	0.137260	-0.156630	0.248440	0.349870	-0.241700	-0.091426	-0.530150	1.341300	107
kings	0.259230	-0.854690	0.360010	-0.642000	0.568530	-0.321420	0.173250	0.133030	-0.089720	1.528600	-
dog	-0.057120	0.052685	0.003026	-0.048517	0.007043	0.041856	-0.024704	-0.039783	0.009614	0.308416	
sausages	-0.174290	-0.064869	-0.046976	0.287420	-0.128150	0.647630	0.056315	-0.240440	-0.025094	0.502220	
lazy	-0.353320	-0.299710	-0.176230	-0.321940	-0.385640	0.586110	0.411160	-0.418680	0.073093	1.486500	-
love	0.139490	0.534530	-0.252470	-0.125650	0.048748	0.152440	0.199060	-0.065970	0.128830	2.055900	
quick	-0.445630	0.191510	-0.249210	0.465900	0.161950	0.212780	-0.046480	0.021170	0.417660	1.686900	

- Conventional word embedding:
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  - A pre-trained matrix, each row is an embedding vector of a word

#### **English Wikipedia Corpus**

The Annual Reminder continued through July 4, 1969. This final Annual Reminder took place less than a week after the June 28 Stonewall irots, in which the patrons of the Stonewall Inn, a gay bar in Greenwich Village, fought against police who raided the bar. Rodwell received several telephone calls threatening him and the other New York participants, but he was able to arrange for police protection for the chartered bus all the way to Philadelphia. About 45 people participated, including the deputy mayor of Philadelphia and his wife. The dress code was still in effect at the Reminder, but two women from the New York contingent broke from the single-file picket line and held hands. When Kameny tried to break them apart, Rodwell furiously denounced him to onlooking members of the press.

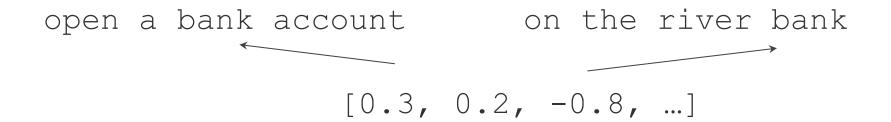
Following the 1969 Annual Reminder, there was a sense, particularly among the younger and more radical participants, that the time for silent picketing had passed. Dissent and dissatisfaction had begun to take new and more emphatic forms in society. "The conference passed a resolution drafted by Rodwell, his partner Fred Sargeant, Broidy and Linda Rhodes to move the demonstration from July 4 in Philadelphia to the last weekend in June in New York City, as well as proposing to "other organizations throughout the country... suggesting that they hold parallel demonstrations on that day" to commemorate the Stonewall riot. ......

	0	1	2	3	4	5	6	7	8	9	
fox	-0.348680	-0.077720	0.177750	-0.094953	-0.452890	0.237790	0.209440	0.037886	0.035064	0.899010	
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oreakfast	0.073378	0.227670	0.208420	-0.456790	-0.078219	0.601960	-0.024494	-0.467980	0.054627	2.283700	
	0.400740	0.400700	0.050070	0.000400	0.070500	0.000574	2 223945	-0.115060	0.484000	0.848380	
			E	mbeddi	ng Matr	ix	3350	-0.081890	-0.047986	2.803600	-
						_	7880	0.076630	-0.422920	0.815730	
					dimensiona		700	-0.091426	-0.530150	1.341300	
			aardv		•••••		3250	0.133030	-0.089720	1.528600	
			apple				1704	-0.039783	0.009614	0.308416	
Word2	Vec			•			3315	-0.240440	-0.025094	0.502220	(8)

0.417660 1.686900

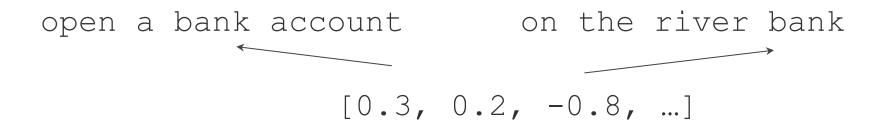
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• Problem: word embeddings are applied in a context free manner



Courtesy: Devlin 2019

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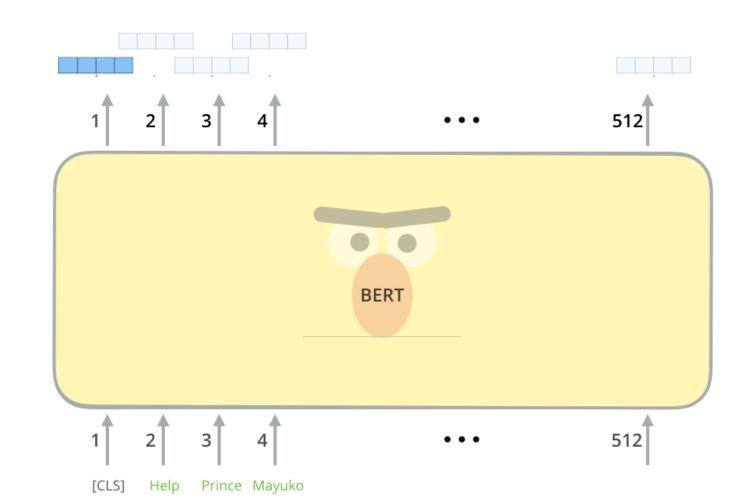


• Solution: Train contextual representations on text corpus

Courtesy: Devlin 2019

#### **BERT**

• BERT: A bidirectional model to extract contextual word embedding



### **BERT: Pre-training Procedure**

- Dataset:
  - Wikipedia (2.5B words) + a collection of free ebooks (800M words)

#### **BERT: Pre-training Procedure**

- Dataset:
  - Wikipedia (2.5B words) + a collection of free ebooks (800M words)

- Training: masked language model (masked LM)
  - Masks some percent of words from the input and has to reconstruct those words from context

### **BERT: Pre-training Procedure**

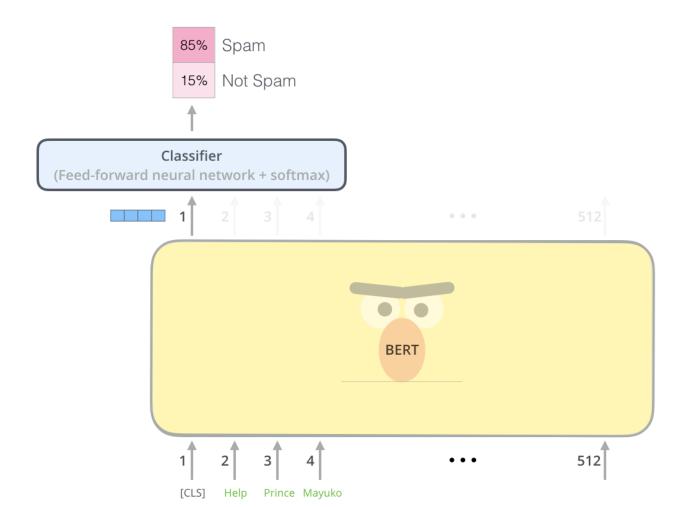
Masked LM

0.1% Aardvark Use the output of the Possible classes: masked word's position All English words Improvisation 10% to predict the masked word Zyzzyva FFNN + Softmax **BERT** Randomly mask 512 15% of tokens Let's stick [MASK] this skit [CLS] Input skit

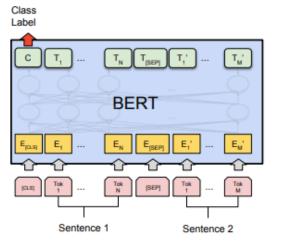
to improvisation in

### **BERT: Downstream Fine-tuning**

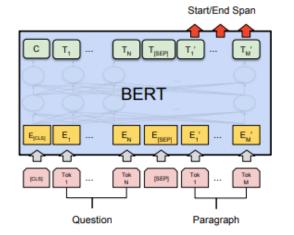
• Use BERT for sentence classification



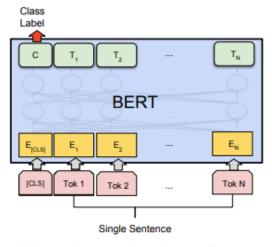
#### **BERT: Downstream Fine-tuning**



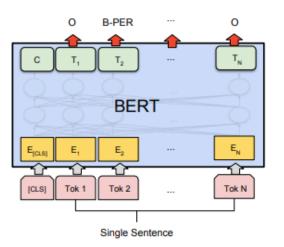
(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG



(c) Question Answering Tasks: SQuAD v1.1



(b) Single Sentence Classification Tasks: SST-2, CoLA



(d) Single Sentence Tagging Tasks: CoNLL-2003 NER

#### **BERT Results**

Huge improvements over SOTA on 12 NLP task

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	_
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
$BERT_{LARGE}$	86.7/85.9	<b>72.1</b>	91.1	94.9	60.5	86.5	89.3	<b>70.1</b>	81.9

Table 1: GLUE Test results, scored by the GLUE evaluation server. The number below each task denotes the number of training examples. The "Average" column is slightly different than the official GLUE score, since we exclude the problematic WNLI set. OpenAI GPT = (L=12, H=768, A=12); BERT<sub>BASE</sub> = (L=12, H=768, A=12); BERT<sub>LARGE</sub> = (L=24, H=1024, A=16). BERT and OpenAI GPT are single-model, single task. All results obtained from https://gluebenchmark.com/leaderboard and https://blog.openai.com/language-unsupervised/.

#### SSL from Images, EX (I): masked autoencoder (MAE)

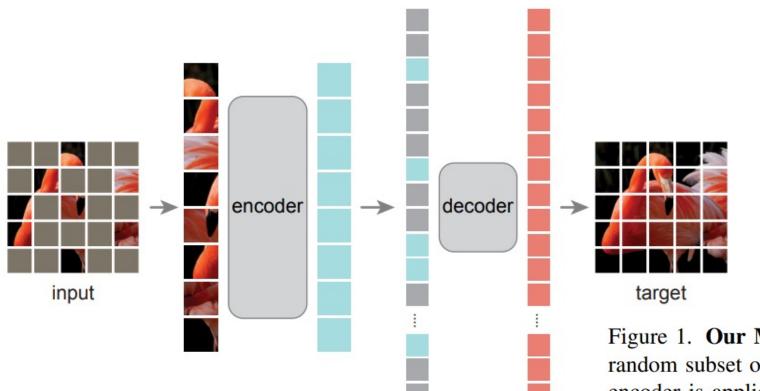
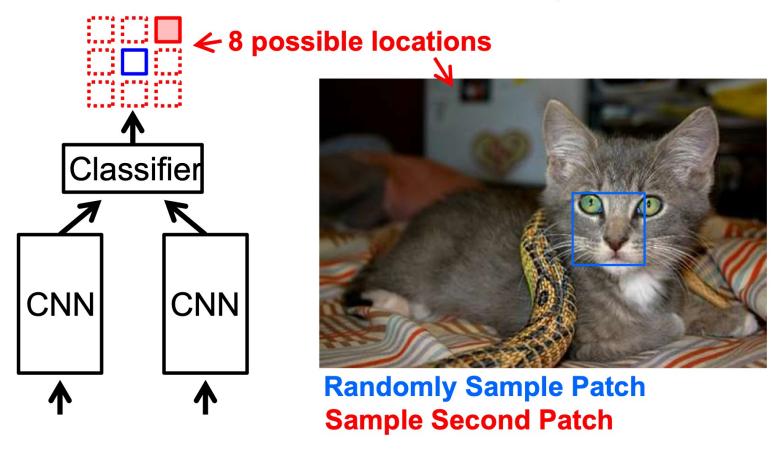
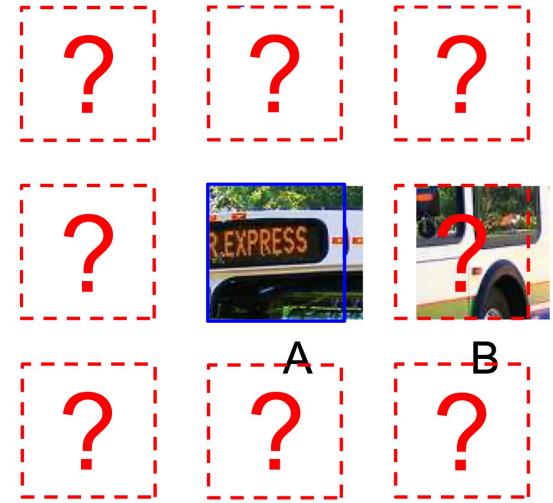


Figure 1. **Our MAE architecture**. During pre-training, a large random subset of image patches (*e.g.*, 75%) is masked out. The encoder is applied to the small subset of *visible patches*. Mask tokens are introduced *after* the encoder, and the full set of encoded patches and mask tokens is processed by a small decoder that reconstructs the original image in pixels. After pre-training, the decoder is discarded and the encoder is applied to uncorrupted images (full sets of patches) for recognition tasks.

Train network to predict relative position of two regions in the same image



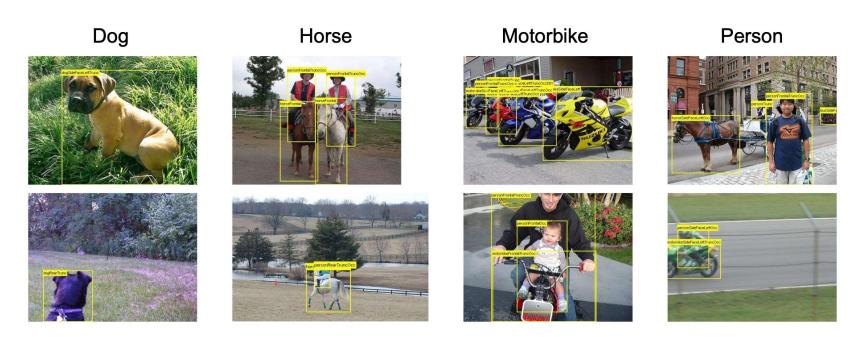
Unsupervised visual representation learning by context prediction, Carl Doersch, Abhinav Gupta, Alexei A. Efros, ICCV 2015



Unsupervised visual representation learning by context prediction, Carl Doersch, Abhinav Gupta, Alexei A. Efros, ICCV 2015

#### **Evaluation: PASCAL VOC Detection**

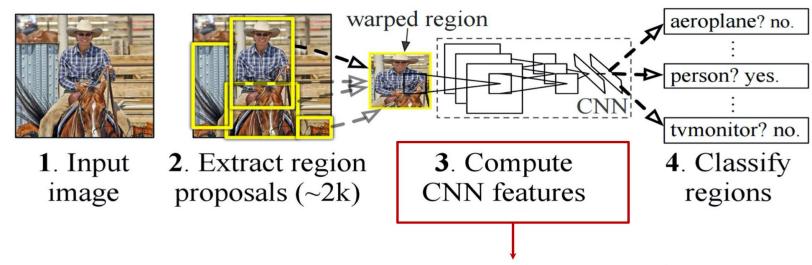
- 20 object classes (car, bicycle, person, horse ...)
- Predict the bounding boxes of all objects of a given class in an image (if any)



# SSL from Images, EX (II): relative positioning Evaluation: PASCAL VOC Detection

- Pre-train CNN using self-supervision (no labels)
- Train CNN for detection in R-CNN object category detection pipeline

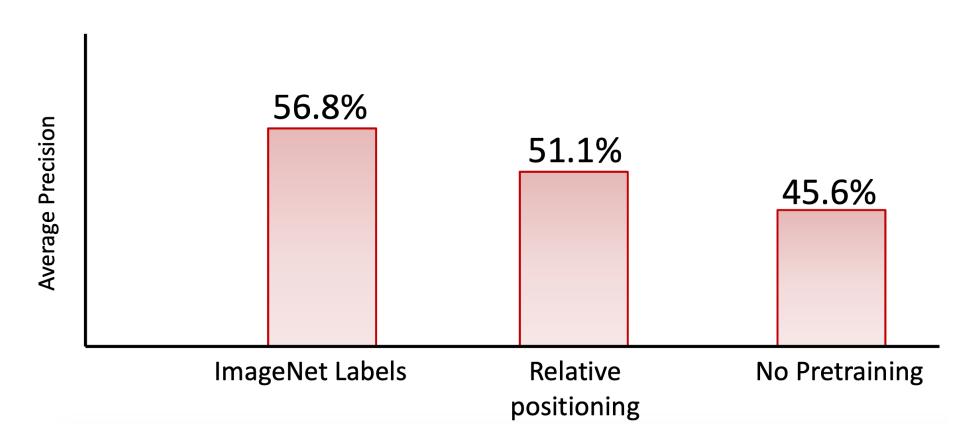
**R-CNN** 



Pre-train on relative-position task, w/o labels

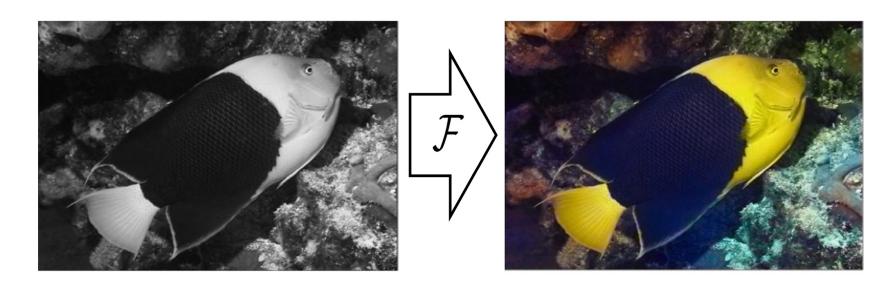
[Girshick et al. 2014]

**Evaluation: PASCAL VOC Detection** 



#### SSL from Images, EX (III): colorization

Train network to predict pixel colour from a monochrome input



Grayscale image: *L* channel

$$\mathbf{X} \in \mathbb{R}^{H \times W \times 1}$$
  $(\mathbf{X}, \widehat{\mathbf{Y}})$  "Free" supervisory signal

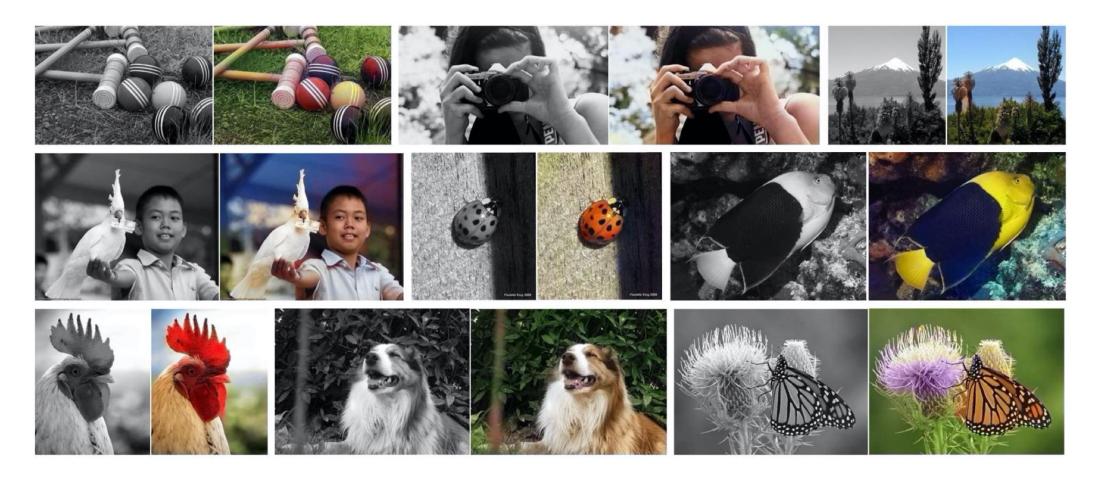
[Courtesy: Zisserman "Self-supervised Learning"]

Colorful Image Colorization, Zhang et al., ECCV 2/516

Concatenate (L,ab)

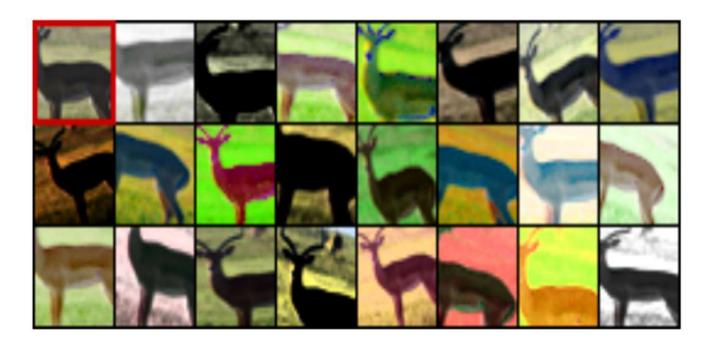
#### SSL from Images, EX (III): colorization

Train network to predict pixel colour from a monochrome input



#### SSL from Images, EX (IV): exemplar networks

- Exemplar Networks (Dosovitskiy et al., 2014)
- Perturb/distort image patches, e.g. by cropping and affine transformations
- Train to classify these exemplars as same class



#### SSL from Videos

#### Three example tasks:

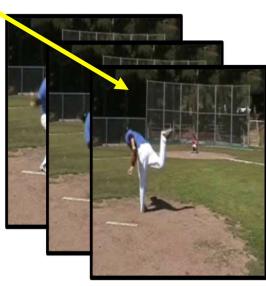
- Video sequence order
  - Sequential Verification: Is this a valid sequence?







#### Time



"Sequence" of data

#### SSL from Videos

#### Three example tasks:

- Video sequence order
  - Sequential Verification: Is this a valid sequence?
- Video direction
  - Predict if video playing forwards or backwards

#### SSL from Videos

#### Three example tasks:

- Video sequence order
  - Sequential Verification: Is this a valid sequence?
- Video direction
  - Predict if video playing forwards or backwards
- Video tracking

o Given a color video, colorize all frames of a gray scale version using a reference

frame



[Courtesy: Zisserman "Self-supervised Learning"]

Vondric et al., 2018

## Key Takeaways

- Self supervision learning
  - Predicting any part of the observations given any available information
  - The prediction task forces models to learn semantic representations
  - Massive/unlimited data supervisions
- SSL for text:
  - Language models: next word prediction
  - BERT text representations: masked language model (MLM)
- SSL for images/videos:
  - Various ways of defining the prediction task

# Questions?