DSC291: Machine Learning with Few Labels

Large Language Model Basics Self-Supervised Learning

Zhiting Hu Lecture 4, April 8, 2024



HALICIOĞLU DATA SCIENCE INSTITUTE

Components of a ML solution (roughly)

- Loss
- Experience
- Optimization solver
- Model architecture

 $\min_{\theta} \mathcal{L}$ (θ, \mathcal{E}) **Optimization** Loss Model Experience solver architecture

Algorithm marketplace

Designs driven by: experience, task, loss function, training procedure ...



maximum likelihood estimation reinforcement learning as inference inverse RL active learning data re-weighting policy optimization data augmentation reward-augmented maximum likelihood softmax policy gradient label smoothing imitation learning actor-critic adversarial domain adaptation GANs posterior regularization knowledge distillation intrinsic reward constraint-driven learning generalized expectation prediction minimization regularized Bayes learning from measurements energy-based GANs weak/distant supervision

Where we are now? Where we want to be?

Cr

Nb Mo

V

Та

Ti

• Alchemy vs chemistry





maximum likelihood estimation reinforcement learning as inference

inverse RL active learning



Quest for more standardized, unified ML principles

Machine Learning 3: 253–259, 1989 © 1989 Kluwer Academic Publishers – Manufactured in The Netherlands

EDITORIAL

Toward a Unified Science of Machine Learning

[P. Langley, 1989]





REVIEW _____ Communicated by Steven Nowlan

A Unifying Review of Linear Gaussian Models

Sam Roweis*

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Physics in the 1800's

- Electricity & magnetism:
 - Coulomb's law, Ampère, Faraday, ...
- Theory of light beams:
 - Particle theory: Isaac Newton, Laplace, Plank
 - Wave theory: Grimaldi, Chris Huygens, Thomas Young, Maxwell
- Law of gravity
 - Aristotle, Galileo, Newton, ...

6











"Standard equations" in Physics

1861

electro-



1910s

7

1970s

A "standardized formalism" of ML



Type-2 diabetes is 90% more common than type-1

Constraints







Adversaries

should be conceived as a kind of intimate reverie

Imitation

Data examples



- Panoramically learn from all types of experience
- Subsumes many existing algorithms as special cases

Will discuss in later in the class

Large Language Models

Natural Language Processing (NLP): Before 2017

Automated understanding and generation of natural language

Core NLP tasks handled by respective machine learning models, e.g.,:

Named Entity Recognition



Sentiment Analysis

POSITIVE

There are slow and repetitive parts , but the movie has just enough spice to keep it interesting .

Natural Language Processing (NLP): Before 2017 Automated understanding and generation of natural language

Hand annotation of linguistic structures (e.g., the Penn Treebank, 1990s)







Figure credit: Investopedia







What is a language model?

What is a language model?



(▶)

Sure, here's an example that uses an analogy to explain what a language model is:

Imagine you're playing a game of Mad Libs. You know the general story, but some of the words are missing and you need to fill them in to make it complete. A language model is kind of like the person who's playing Mad Libs with you, but they're really good at guessing what words you're going to pick based on the context and the words you've already chosen.

Language Model 101

S = Imagine you're playing a game of Mad Libs.

Language Model 101

S = Imagine you're playing a game of Mad Libs.



Next word prediction





Language Model 101

 $P(w_i | w_1, ..., w_{i-1})$

Implementations (model architecture):

N-grams

. . .

Recurrent Neural Networks (RNNs)

Transformer





. . .

 $P(w_i | w_1, \dots, w_{i-1})$



2017

 $P(w_i|w_1,\ldots,w_{i-1})$

Attention Is All You Nee

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Illia Polosukhin^{*‡} illia.polosukhin@gmail.com The children were hungry. They looked out the window. Where was their mother? She walked into the house. The children **ran over** to her. "Mama, we're so **hungry**," they both said. She said **lunch** was coming. She walked into the **kitchen**. She opened a can of **chicken soup**. She **poured** the soup into a **pot**. She added water. She put the pot on the **stove**. She made two **peanut butter** and **jelly sandwiches**. She sliced an apple. The soup was hot. She poured it into two bowls. She put the sandwiches on two



plates. She put apple slices on each plate. She put the **bowls** and plates on the table. The children ran to the table. "Thank you, mommy!" they said. Then they started eating. The cat and the dog watched them eat.

2017

 $P(w_i|w_1,\ldots,w_{i-1})$



 $P(w_i|w_1,\ldots,w_{i-1})$

P(* | I saw a cat on a)





Language models: Summary so far

• Which components of LMs have we talked about so far?



Language models: Summary so far

- So far, we've talked about the model architectures and inference of LMs
 - Model architecture: Transformers \bigcirc
 - Inference: next word prediction (sampling tokens at each step) Ο $(w_{i} | W_{i}, \dots, W_{i})$
- Next: training of LMs



Self-Supervised Learning

Terminology

- Supervised Learning
- Semi-supervised Learning
- Weakly-supervised Learning
- Self-supervised Learning
- Unsupervised Learning
- All need some forms of supervision, or experience

Self-Supervised Learning: Examples

- Predict any part of the input from any other part.
- Predict the future from the past.
- Predict the future from the recent past.
- Predict the past from the present.
- Predict the top from the bottom.



Self-Supervised Learning: Examples

- Predict any part of the input from any other part.
- Predict the future from the past.
- Predict the future from the recent past.
- Predict the past from the present.
- Predict the top from the bottom.
- Predict the occluded from the visible
- Pretend there is a part of the input you don't know and predict that.



Self-Supervised Learning: Motivation (I)

Our brains do this all the time

- Filling in the visual field at the retinal blind spot
- Filling in occluded images, missing segments in speech
- Predicting the state of the world from partial (textual) descriptions
- Predicting the consequences of our actions
- Predicting the sequence of actions leading to a result
- Predicting any part of the past, present or future percepts from whatever information is available.





Self-Supervised Learning: Motivation (I)

- Successfully learning to predict everything from everything else would result in the accumulation of lots of background knowledge about how the world works
- The model is forced to learn what we really care about, e.g. a semantic representation, in order to solve the prediction problem

[Courtesy: Lecun "Self-supervised Learning"] [Courtesy: Zisserman "Self-supervised Learning"]

Self-Supervised Learning: Motivation (II)

- The machine predicts any part of its input from any observed part
 - A lot of supervision signals in each data instance
- Untapped/availability of vast numbers of unlabeled text/images/videos..
 - Facebook: one billion images uploaded per day
 - 300 hours of video are uploaded to YouTube every minute

SSL in Language Models

- Calculates the probability of a sentence:
 - Sentence:

$$\boldsymbol{y} = (y_1, y_2, \dots, y_T)$$

$$p_{\theta}(\boldsymbol{y}) = \prod_{t=1}^{T} p_{\theta}(y_t \mid \boldsymbol{y}_{1:t-1})$$

Example:

(*I*, *like*, *this*, ...)

$$\cdots p_{\theta} (like \mid I) p_{\theta}(this \mid I, like) \cdots$$



SSL in Language Models

- Calculates the probability of a sentence:
 - Sentence:

$$\boldsymbol{y} = (y_1, y_2, \dots, y_T)$$

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Example:

(I, like, this, ...)

$$\cdots p_{\theta} (like \mid I) p_{\theta}(this \mid I, like) \cdots$$



SSL in Language Models: Training

- Given data example **y***
- Minimizes negative log-likelihood of the data

$$\min_{\theta} \mathcal{L}_{\text{MLE}} = -\log p_{\theta}(\boldsymbol{y}^*) = -\prod_{t=1}^{T} p_{\theta}(\boldsymbol{y}^*_t \mid \boldsymbol{y}^*_{1:t-1})$$

SSL in Language Models: GPT3

- A Transformer-based LM with 125M to 175B parameters
- Trained on massive text data

Dataset	# Tokens (Billions)
Total	499
Common Crawl (filtered by quality)	410
WebText2	19
Books1	12
Books2	55
Wikipedia	3

Brown et al., 2020 "Language Models Are Few-Shot Learners"

[Table from https://lambdalabs.com/blog/demystifying-gpt-3/]

Other examples of self-supervised learning

- Learning contextual text representations
- Learning image / video representations

- Conventional word embedding:
 - Word2vec, Glove
 - A pre-trained matrix, each row is an embedding vector of a word

	0	1	2	3	4	5	6	7	8	9	
fox	-0.348680	-0.077720	0.177750	-0.094953	-0.452890	0.237790	0.209440	0.037886	0.035064	0.899010	
ham	-0.773320	-0.282540	0.580760	0.841480	0.258540	0.585210	-0.021890	-0.463680	0.139070	0.658720	,
brown	-0.374120	-0.076264	0.109260	0.186620	0.029943	0.182700	-0.631980	0.133060	-0.128980	0.603430	
beautiful	0.171200	0.534390	-0.348540	-0.097234	0.101800	-0.170860	0.295650	-0.041816	-0.516550	2.117200	,
jumps	-0.334840	0.215990	-0.350440	-0.260020	0.411070	0.154010	-0.386110	0.206380	0.386700	1.460500	
eggs	-0.417810	-0.035192	-0.126150	-0.215930	-0.669740	0.513250	-0.797090	-0.068611	0.634660	1.256300	
beans	-0.423290	-0.264500	0.200870	0.082187	0.066944	1.027600	-0.989140	-0.259950	0.145960	0.766450	
sky	0.312550	-0.303080	0.019587	-0.354940	0.100180	-0.141530	-0.514270	0.886110	-0.530540	1.556600	,
bacon	-0.430730	-0.016025	0.484620	0.101390	-0.299200	0.761820	-0.353130	-0.325290	0.156730	0.873210	
breakfast	0.073378	0.227670	0.208420	-0.456790	-0.078219	0.601960	-0.024494	-0.467980	0.054627	2.283700	
toast	0.130740	-0.193730	0.253270	0.090102	-0.272580	-0.030571	0.096945	-0.115060	0.484000	0.848380	1
today	-0.156570	0.594890	-0.031445	-0.077586	0.278630	-0.509210	-0.066350	-0.081890	-0.047986	2.803600	
blue	0.129450	0.036518	0.032298	-0.060034	0.399840	-0.103020	-0.507880	0.076630	-0.422920	0.815730	
green	-0.072368	0.233200	0.137260	-0.156630	0.248440	0.349870	-0.241700	-0.091426	-0.530150	1.341300	
kings	0.259230	-0.854690	0.360010	-0.642000	0.568530	-0.321420	0.173250	0.133030	-0.089720	1.528600	
dog	-0.057120	0.052685	0.003026	-0.048517	0.007043	0.041856	-0.024704	-0.039783	0.009614	0.308416	,
sausages	-0.174290	-0.064869	-0.046976	0.287420	-0.128150	0.647630	0.056315	-0.240440	-0.025094	0.502220	
lazy	-0.353320	-0.299710	-0.176230	-0.321940	-0.385640	0.586110	0.411160	-0.418680	0.073093	1.486500	
love	0.139490	0.534530	-0.252470	-0.125650	0.048748	0.152440	0.199060	-0.065970	0.128830	2.055900	
quick	-0.445630	0.191510	-0.249210	0.465900	0.161950	0.212780	-0.046480	0.021170	0.417660	1.686900	

- Conventional word embedding:
 - Word2vec, Glove
 - A pre-trained matrix, each row is an embedding vector of a word

English Wikipedia Corpus

The Annual Reminder continued through July 4, 1969. This final Annual Reminder took place less than a week after the June 28 stonewall riots, in which the patrons of the Stonewall Inn, a gay bar in Greenwich Village, fought against police who raided the bar. Rodwell received several telephone calls threatening him and the other New York participants, but he was able to arrange for police protection for the chartered bus all the way to Philadelphia. About 45 people participated, including the deputy mayor of Philadelphia and his wife. The dress code was still in effect at the Reminder, but two women from the New York contingent broke from the single-file picket line and held hands. When Kameny tried to break them apart, Rodwell uriously denounced him to onlooking members of the press.

Following the 1969 Annual Reminder, there was a sense, particularly among the younger and more radical participants, that the time for silent picketing had passed. Dissent and dissatisfaction had begun to take new and more emphatic forms in society."IThe conference passed a resolution drafted by Rodwell, his partner Fred Sargeant, Broidy and Linda Rhodes to move the demonstration from July 4 in Philadelphia to the last weekend in June in New York City, as well as proposing to "other organizations throughout the country... suggesting that they hold parallel demonstrations on that day" to

commemorate the Stonewall riot.

[Image source: Va

	0	1	2	3	4	5	6	7	8	9	
fox	-0.348680	-0.077720	0.177750	-0.094953	-0.452890	0.237790	0.209440	0.037886	0.035064	0.899010	
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	0.400740	0.400700	0.050070		0.070500	0.000574	0.0003945	-0.115060	0.484000	0.848380	
			F	mbeddi	ng Matr	iv	3350	-0.081890	-0.047986	2.803600	
				mbcuui	ing iviati		7880	0.076630	-0.422920	0.815730	
				D-	dimensiona	l vector	700	-0.091426	-0.530150	1.341300	
			aardv	ark 💽			3250	0.133030	-0.089720	1.528600	
			apple				1704	-0.039783	0.009614	0.308416	
Word2	Vec			•			315	-0.240440	-0.025094	0.502220	
			~	•			1160	-0.418680	0.073093	1 486500	
			700				1000	0.065070	0.400000	2.055000	
			200				0000	-0.005370	0.120030	2.000000	

5480

0.021170

0.417660 1.686900

• Problem: word embeddings are applied in a context free manner



• Problem: word embeddings are applied in a context free manner



• Solution: Train contextual representations on text corpus

Courtesy: Devlin 2019

BERT

• BERT: A bidirectional model to extract contextual word embedding



- Dataset:
 - Wikipedia (2.5B words) + a collection of free ebooks (800M words)

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 - Wikipedia (2.5B words) + a collection of free ebooks (800M words)
- Training procedure
 - masked language model (masked LM)
 - Masks some percent of words from the input and has to reconstruct those words from context



- Masked LM
- 15% masking:
 - Too little masking: Too expensive to train (few supervision signals per example)
 - Too much masking: Not enough context
- Problem: Mask token never seen at fine-tuning
- Solution: don't replace with [MASK] 100% of the time. Instead:
- 80% of the time, replace with [MASK]
 - \circ went to the store \rightarrow went to the [MASK]
- 10% of the time, replace random word
 - \circ went to the store \rightarrow went to the running
- 10% of the time, keep same
 - \circ went to the store \rightarrow went to the store

- Dataset:
 - Wikipedia (2.5B words) + a collection of free ebooks (800M words)
- Training procedure
 - masked language model (masked LM)
 - Masks some percent of words from the input and has to reconstruct those words from context
 - Two-sentence task
 - To understand relationships between sentences
 - Concatenate two sentences A and B and predict whether B actually comes after A in the original text



BERT: Downstream Fine-tuning

• Use BERT for sentence classification



BERT: Downstream Fine-tuning



(a) Sentence Pair Classification Tasks:

RTE, SWAG

MNLI, QQP, QNLI, STS-B, MRPC,

Label C T_1 T_2 \cdots T_N BERT E_{ICLSI} E_1 E_2 \cdots E_N [CLS] Tok 1 Tok 2 \cdots Tok N

Class

Single Sentence

(b) Single Sentence Classification Tasks: SST-2, CoLA







(d) Single Sentence Tagging Tasks: CoNLL-2003 NER

BERT Results

• Huge improvements over SOTA on 12 NLP task

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT _{BASE}	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
BERT _{LARGE}	86.7/85.9	72.1	91.1	94.9	60.5	86.5	89.3	70.1	81.9

Table 1: GLUE Test results, scored by the GLUE evaluation server. The number below each task denotes the number of training examples. The "Average" column is slightly different than the official GLUE score, since we exclude the problematic WNLI set. OpenAI GPT = (L=12, H=768, A=12); BERT_{BASE} = (L=12, H=768, A=12); BERT_{LARGE} = (L=24, H=1024, A=16). BERT and OpenAI GPT are single-model, single task. All results obtained from https://gluebenchmark.com/leaderboard and https://blog.openai.com/language-unsupervised/.

SSL from Images, EX (I): relative positioning

Train network to predict relative position of two regions in the same image





Randomly Sample Patch Sample Second Patch

Unsupervised visual representation learning by context prediction, Carl Doersch, Abhinav Gupta, Alexei A. Efros, ICCV 2015

[Courtesy: Zisserman "Self-supervised Learning"]

SSL from Images, EX (I): relative positioning



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SSL from Images, EX (I): relative positioning Evaluation: PASCAL VOC Detection

• 20 object classes (car, bicycle, person, horse ...)

• Predict the bounding boxes of all objects of a given class in an image (if any)



[Courtesy: Zisserman "Self-supervised Learning"]

SSL from Images, EX (I): relative positioning Evaluation: PASCAL VOC Detection

- Pre-train CNN using self-supervision (no labels)
- Train CNN for detection in R-CNN object category detection pipeline

R-CNN



[Girshick et al. 2014]

SSL from Images, EX (I): relative positioning Evaluation: PASCAL VOC Detection



[Courtesy: Zisserman "Self-supervised Learning"]

SSL from Images, EX (II): colorization

Train network to predict pixel colour from a monochrome input





[Courtesy: Zisserman "Self-supervised Learning"]

Colorful Image Colorization, Zhang et al., ECCV 2016

SSL from Images, EX (II): colorization

Train network to predict pixel colour from a monochrome input



[Courtesy: Zisserman "Self-supervised Learning"]

Colorful Image Colorization, Zhang et al., ECCV 2016

SSL from Images, EX (III): exemplar networks

- Exemplar Networks (Dosovitskiy et al., 2014)
- Perturb/distort image patches, e.g. by cropping and affine transformations
- Train to classify these exemplars as same class



[Courtesy: Zisserman "Self-supervised Learning"]

SSL from Videos

Three example tasks:

- Video sequence order
 - Sequential Verification: Is this a valid sequence?









"Sequence" of data

[Courtesy: Zisserman "Self-supervised Learning"]

Wei et al., 2018 Arrow of Time 76

SSL from Videos

Three example tasks:

- Video sequence order
 - Sequential Verification: Is this a valid sequence?
- Video direction
 - Predict if video playing forwards or backwards

SSL from Videos

Three example tasks:

- Video sequence order
 - Sequential Verification: Is this a valid sequence?
- Video direction
 - Predict if video playing forwards or backwards
- Video tracking
 - Given a color video, colorize all frames of a gray scale version using a reference frame



[Courtesy: Zisserman "Self-supervised Learning"]



Vondric et al., 2018

Key Takeaways

- Self supervision learning
 - Predicting any part of the observations given any available information
 - The prediction task forces models to learn semantic representations
 - Massive/unlimited data supervisions
- SSL for text:
 - Language models: next word prediction
 - BERT text representations: masked language model (MLM)
- SSL for images/videos:
 - Various ways of defining the prediction task

Questions?