

# DSC291: Advanced Statistical Natural Language Processing

Advanced Topics:  
Generative Adversarial Learning

**Zhiting Hu**

Lecture 18, May 26, 2022

**UC San Diego**

**HALICIOĞLU DATA SCIENCE INSTITUTE**

# Outline

- GANs (for text)
- 3 Paper presentations (15 x 3 mins)

# Generative Adversarial Networks

# Generative modeling

- In generative modeling, we'd like to train a network that models a distribution, such as a distribution over images.
- One way to judge the quality of the model is to sample from it.
- This field has seen rapid progress:



2009



2015



2018

# Generative modeling

- In generative modeling, we'd like to train a network that models a distribution, such as a distribution over images.
- One way to judge the quality of the model is to sample from it.
- This field has seen rapid progress:

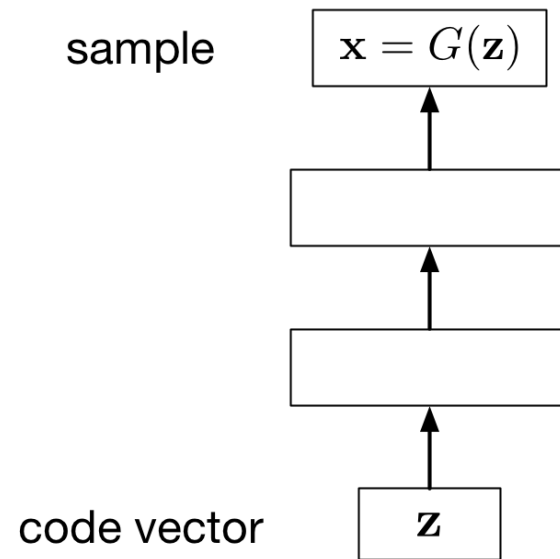


# Generative modeling

- Modern approaches to generative modeling:
  - Variational Auto-encoder (Lecture #8)
  - Auto-regressive models (e.g., language model) (Lecture #3)
  - Generative adversarial networks (today)
  - Flow-based models, diffusion models (not covered)

# Implicit Generative Models

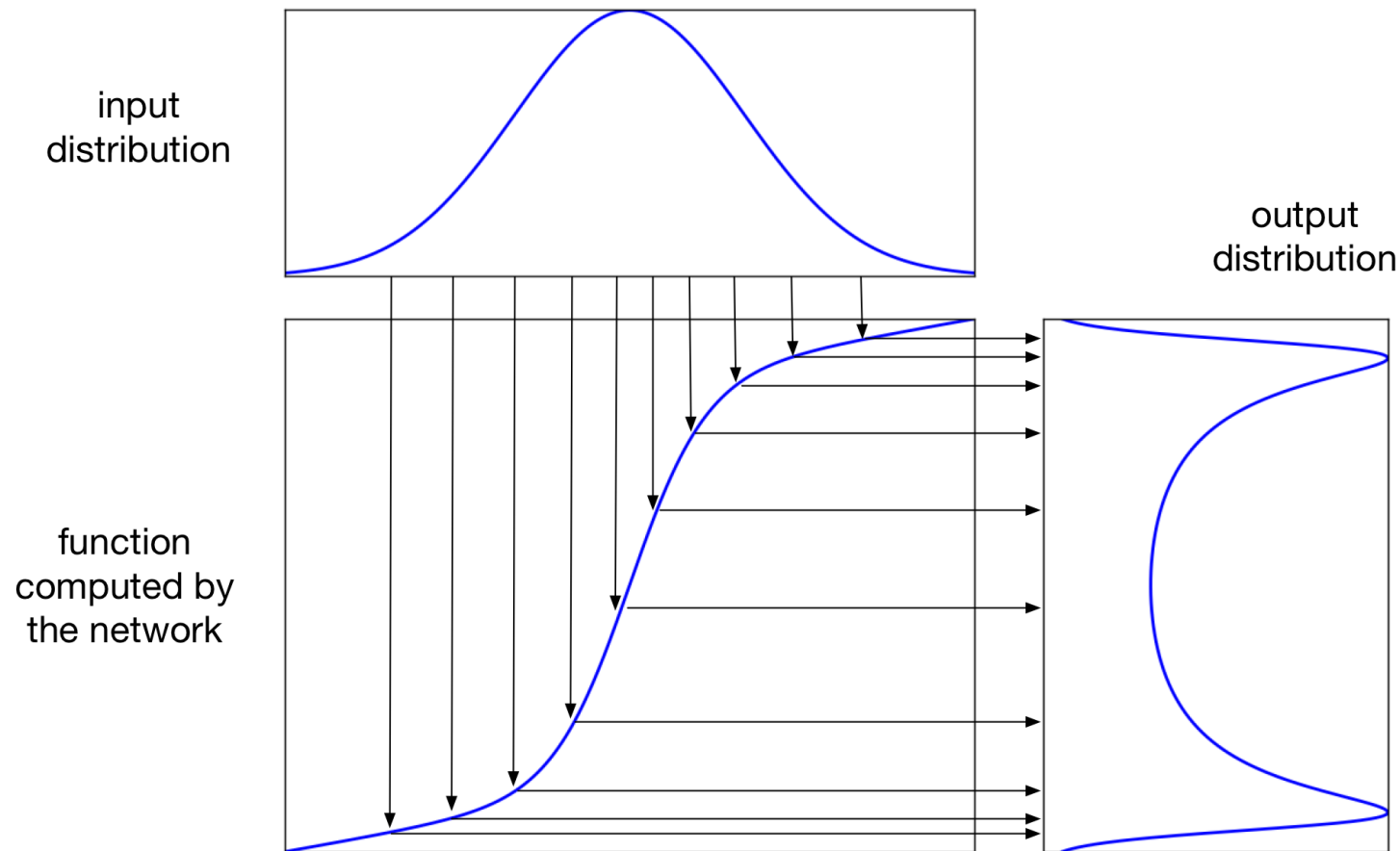
- **Implicit generative models** implicitly define a probability distribution
- Start by sampling the **code vector**  $\mathbf{z}$  from a fixed, simple distribution (e.g. spherical Gaussian)
- The **generator network** computes a differentiable function  $G$  mapping  $\mathbf{z}$  to an  $\mathbf{x}$  in data space



- a stochastic process to simulate data  $\mathbf{x}$
- Intractable to evaluate likelihood

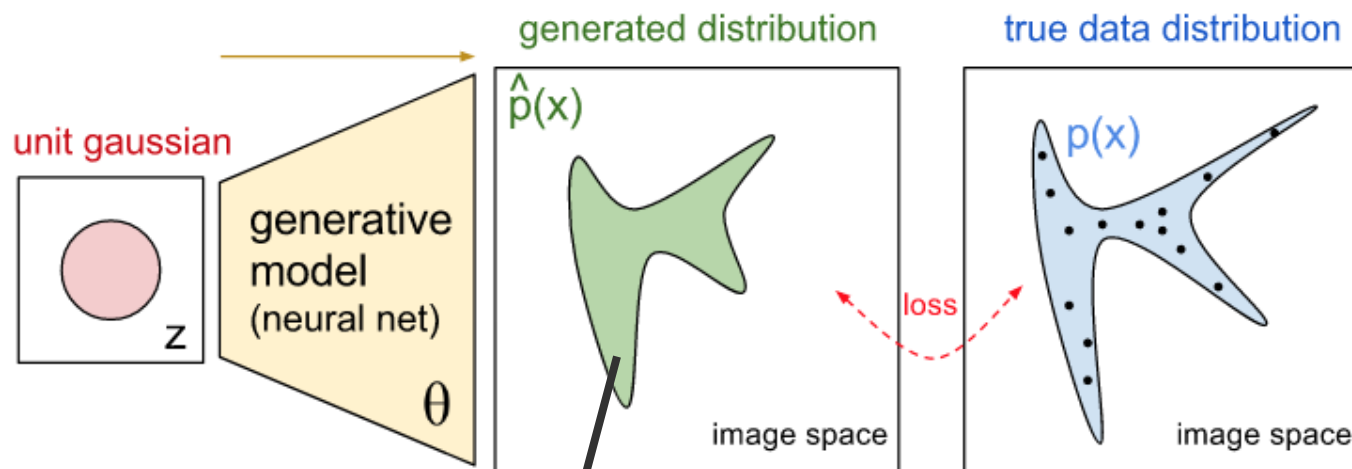
# Implicit Generative Models

A 1-dimensional example:





# Implicit Generative Models



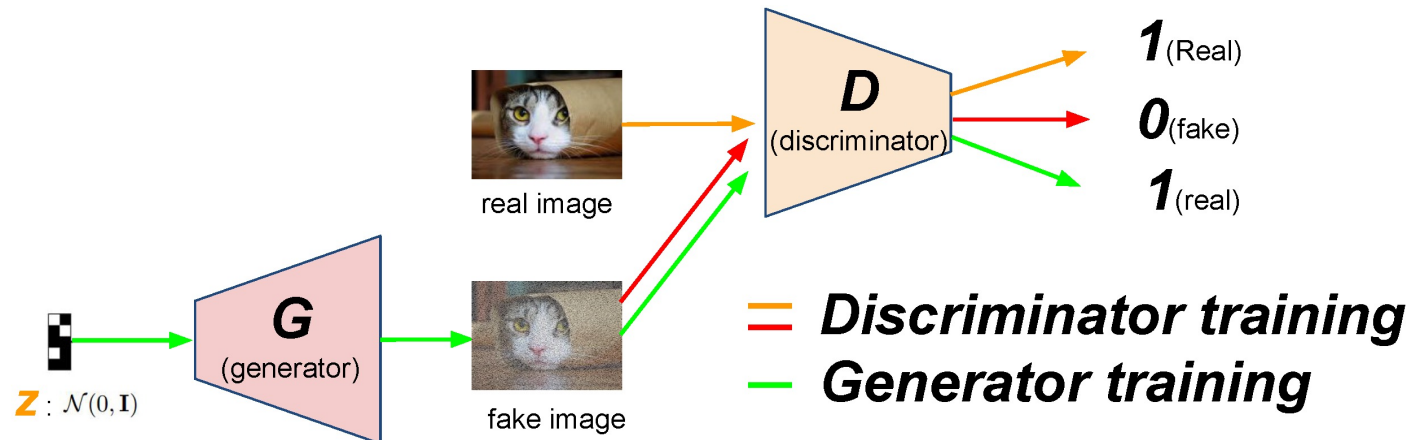
<https://blog.openai.com/generative-models/>

# Implicit Generative Models

- The advantage of implicit generative models: if you have some criterion for evaluating the quality of samples, then you can compute its gradient with respect to the network parameters, and update the network's parameters to make the sample a little better
- The idea behind **Generative Adversarial Networks (GANs)**: train two different networks
  - The generator network tries to produce realistic-looking samples
  - The discriminator network tries to figure out whether an image came from the training set or the generator network
- The generator network tries to fool the discriminator network

# Generative Adversarial Nets (GANs)

- Generative model  $\mathbf{x} = G_{\theta}(\mathbf{z})$ ,  $\mathbf{z} \sim p(\mathbf{z})$ 
  - Maps noise variable  $\mathbf{z}$  to data space  $\mathbf{x}$
  - Defines an implicit distribution over  $\mathbf{x}$ :  $p_{g_{\theta}}(\mathbf{x})$
- Discriminator  $D_{\phi}(\mathbf{x})$ 
  - Output the probability that  $\mathbf{x}$  came from the data rather than the generator

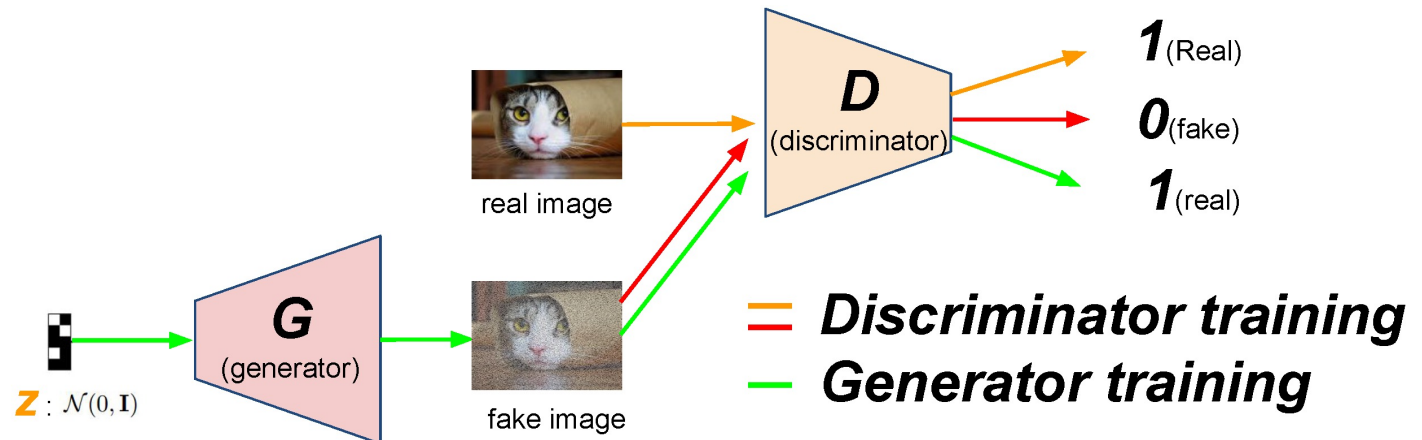


# Generative Adversarial Nets (GANs)

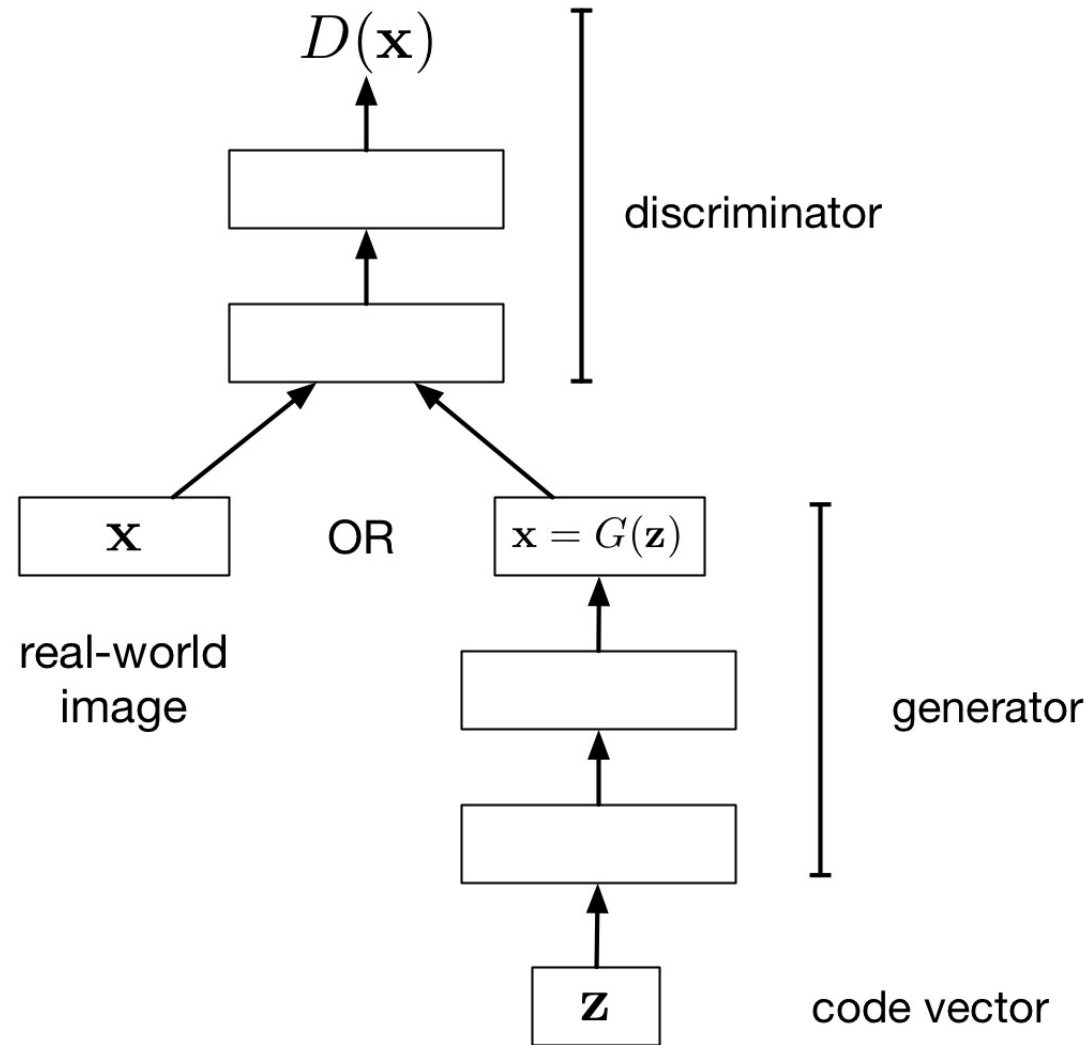
- Learning
  - A **minimax** game between the generator and the discriminator
  - Train  $D$  to maximize the probability of assigning the correct label to both training examples and generated samples
  - Train  $G$  to fool the discriminator

$$\max_D \mathcal{L}_D = \mathbb{E}_{\mathbf{x} \sim p_{data}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{x} \sim G(\mathbf{z}), \mathbf{z} \sim p(\mathbf{z})} [\log(1 - D(\mathbf{x}))]$$

$$\min_G \mathcal{L}_G = \mathbb{E}_{\mathbf{x} \sim G(\mathbf{z}), \mathbf{z} \sim p(\mathbf{z})} [\log(1 - D(\mathbf{x}))].$$

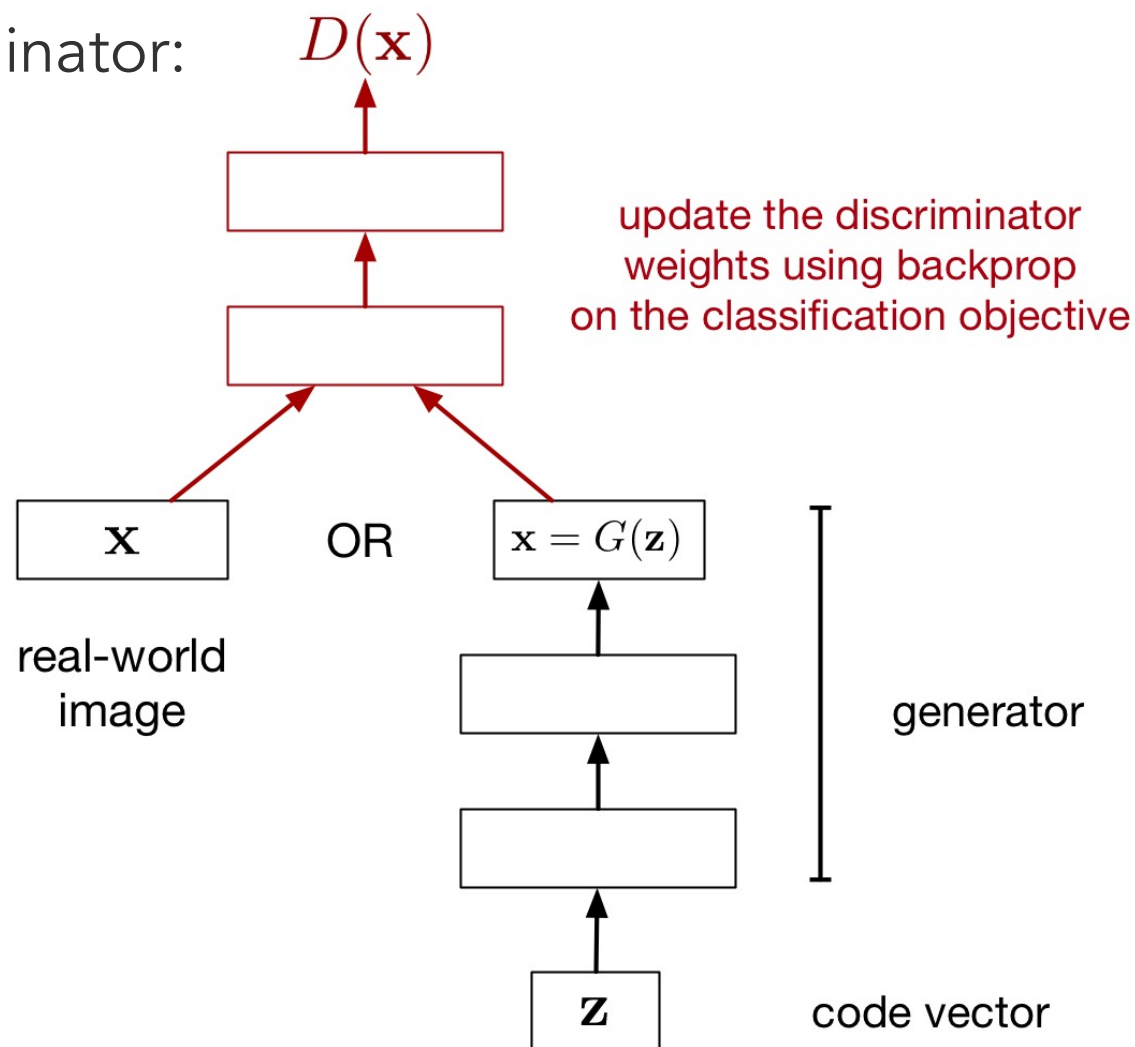


# Generative Adversarial Nets (GANs)



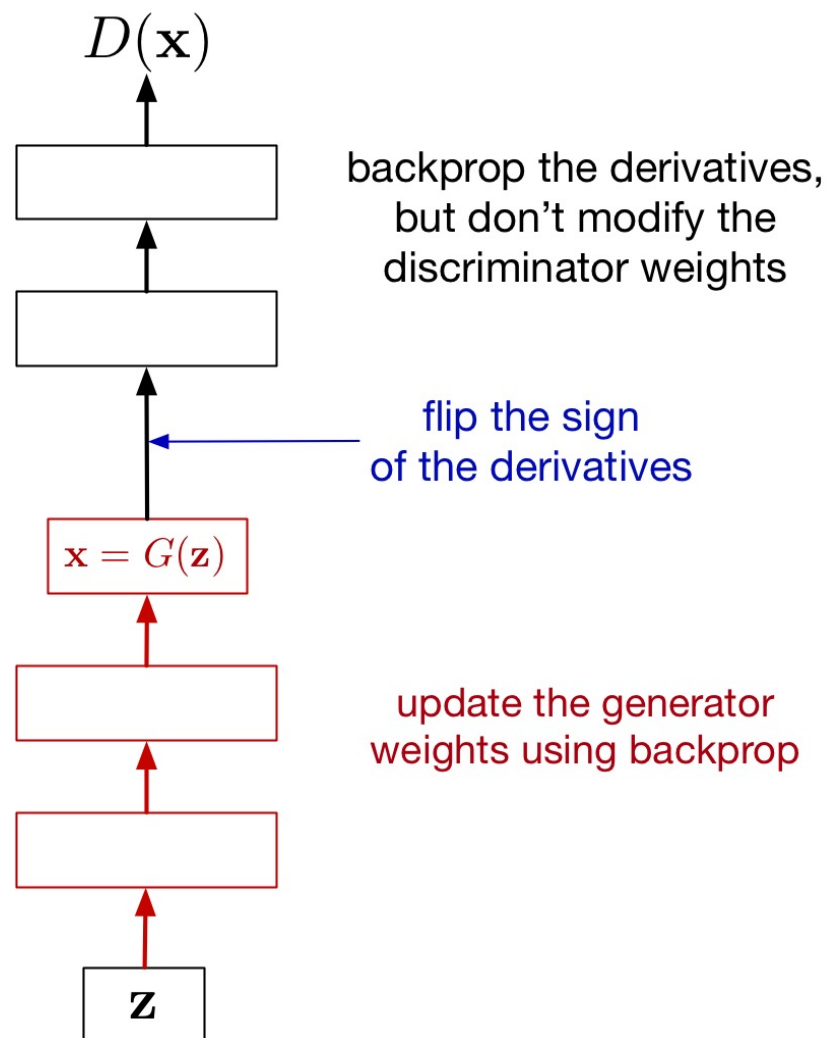
# Generative Adversarial Nets (GANs)

Updating the discriminator:



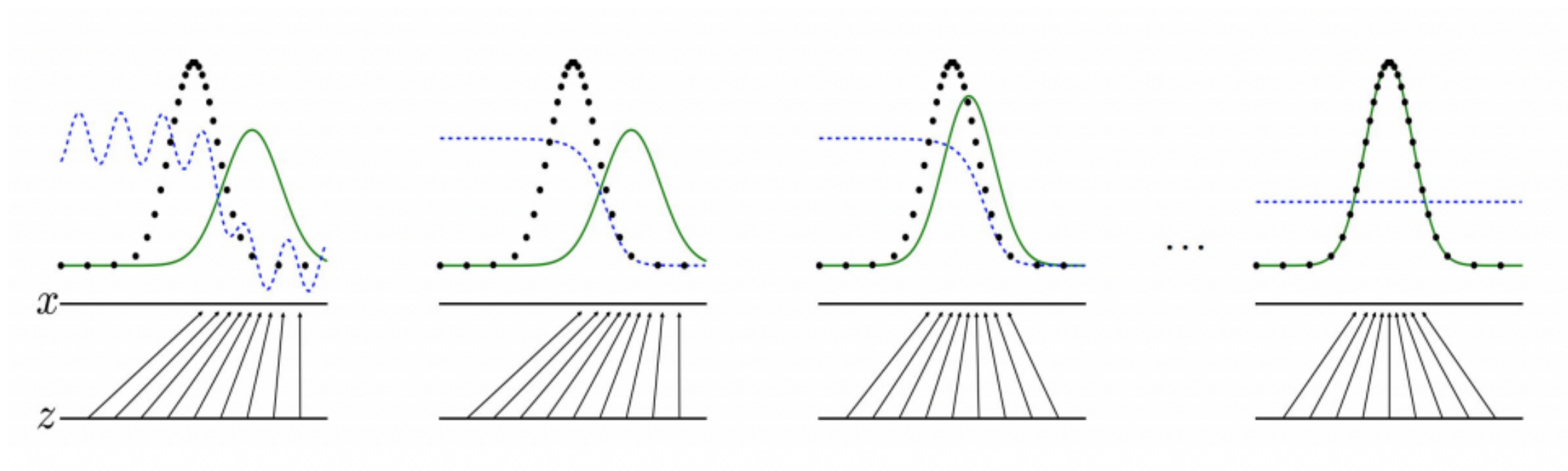
# Generative Adversarial Nets (GANs)

Updating the generator:



# Generative Adversarial Nets (GANs)

Alternating training of the generator and discriminator:





# Optimality of GANs

- Objectives:

$$\max_D \mathcal{L}_D = \mathbb{E}_{\mathbf{x} \sim p_{data}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{x} \sim G(\mathbf{z}), \mathbf{z} \sim p(\mathbf{z})} [\log(1 - D(\mathbf{x}))]$$

$$\min_G \mathcal{L}_G = \mathbb{E}_{\mathbf{x} \sim G(\mathbf{z}), \mathbf{z} \sim p(\mathbf{z})} [\log(1 - D(\mathbf{x}))].$$

- Global optimality:  $p_g = p_{data}$
- Proof:

# Optimality of GANs

**Proposition 1.** *For  $G$  fixed, the optimal discriminator  $D$  is*

$$D_G^*(\mathbf{x}) = \frac{p_{data}(\mathbf{x})}{p_{data}(\mathbf{x}) + p_g(\mathbf{x})} \quad (2)$$

# Optimality of GANs

**Proposition 1.** *For  $G$  fixed, the optimal discriminator  $D$  is*

$$D_G^*(\mathbf{x}) = \frac{p_{data}(\mathbf{x})}{p_{data}(\mathbf{x}) + p_g(\mathbf{x})} \quad (2)$$

*Proof.* The training criterion for the discriminator  $D$ , given any generator  $G$ , is to maximize the quantity  $V(G, D)$

$$\begin{aligned} V(G, D) &= \int_{\mathbf{x}} p_{data}(\mathbf{x}) \log(D(\mathbf{x})) dx + \int_{\mathbf{z}} p_{\mathbf{z}}(\mathbf{z}) \log(1 - D(g(\mathbf{z}))) dz \\ &= \int_{\mathbf{x}} p_{data}(\mathbf{x}) \log(D(\mathbf{x})) + p_g(\mathbf{x}) \log(1 - D(\mathbf{x})) dx \end{aligned} \quad (3)$$

For any  $(a, b) \in \mathbb{R}^2 \setminus \{0, 0\}$ , the function  $y \rightarrow a \log(y) + b \log(1 - y)$  achieves its maximum in  $[0, 1]$  at  $\frac{a}{a+b}$ .

# Optimality of GANs

- The minimax game can now be reformulated as

$$\begin{aligned} C(G) &= \max_D V(G, D) \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [\log D_G^*(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_z} [\log(1 - D_G^*(G(\mathbf{z})))] \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [\log D_G^*(\mathbf{x})] + \mathbb{E}_{\mathbf{x} \sim p_g} [\log(1 - D_G^*(\mathbf{x}))] \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \left[ \log \frac{p_{\text{data}}(\mathbf{x})}{p_{\text{data}}(\mathbf{x}) + p_g(\mathbf{x})} \right] + \mathbb{E}_{\mathbf{x} \sim p_g} \left[ \log \frac{p_g(\mathbf{x})}{p_{\text{data}}(\mathbf{x}) + p_g(\mathbf{x})} \right] \end{aligned}$$

# Optimality of GANs

- The minimax game can now be reformulated as

$$\begin{aligned} C(G) &= \max_D V(G, D) \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [\log D_G^*(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_z} [\log(1 - D_G^*(G(\mathbf{z})))] \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [\log D_G^*(\mathbf{x})] + \mathbb{E}_{\mathbf{x} \sim p_g} [\log(1 - D_G^*(\mathbf{x}))] \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \left[ \log \frac{p_{\text{data}}(\mathbf{x})}{p_{\text{data}}(\mathbf{x}) + p_g(\mathbf{x})} \right] + \mathbb{E}_{\mathbf{x} \sim p_g} \left[ \log \frac{p_g(\mathbf{x})}{p_{\text{data}}(\mathbf{x}) + p_g(\mathbf{x})} \right] \end{aligned}$$

**Theorem 1.** *The global minimum of the virtual training criterion  $C(G)$  is achieved if and only if  $p_g = p_{\text{data}}$ . At that point,  $C(G)$  achieves the value  $-\log 4$ .*

# Optimality of GANs

- The minimax game can now be reformulated as

$$\begin{aligned} C(G) &= \max_D V(G, D) \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [\log D_G^*(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_z} [\log(1 - D_G^*(G(\mathbf{z})))] \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [\log D_G^*(\mathbf{x})] + \mathbb{E}_{\mathbf{x} \sim p_g} [\log(1 - D_G^*(\mathbf{x}))] \\ &= \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \left[ \log \frac{p_{\text{data}}(\mathbf{x})}{p_{\text{data}}(\mathbf{x}) + p_g(\mathbf{x})} \right] + \mathbb{E}_{\mathbf{x} \sim p_g} \left[ \log \frac{p_g(\mathbf{x})}{p_{\text{data}}(\mathbf{x}) + p_g(\mathbf{x})} \right] \end{aligned}$$

**Theorem 1.** *The global minimum of the virtual training criterion  $C(G)$  is achieved if and only if  $p_g = p_{\text{data}}$ . At that point,  $C(G)$  achieves the value  $-\log 4$ .*

$$\begin{aligned} C(G) &= -\log(4) + KL \left( p_{\text{data}} \left\| \frac{p_{\text{data}} + p_g}{2} \right\| \right) + KL \left( p_g \left\| \frac{p_{\text{data}} + p_g}{2} \right\| \right) \\ &= -\log(4) + 2 \cdot JSD(p_{\text{data}} \| p_g) \quad \text{Jensen-Shannon Divergence} \end{aligned}$$

# A better loss function

- We introduced the minimax cost function for the generator:

$$\mathcal{J}_G = \mathbb{E}_{\mathbf{z}}[\log(1 - D(G(\mathbf{z})))]$$

- One problem with this is **saturation**.
- Here, if the generated sample is really bad, the discriminator's prediction is close to 0, and the generator's cost is flat.

# A better loss function: non-saturating GAN

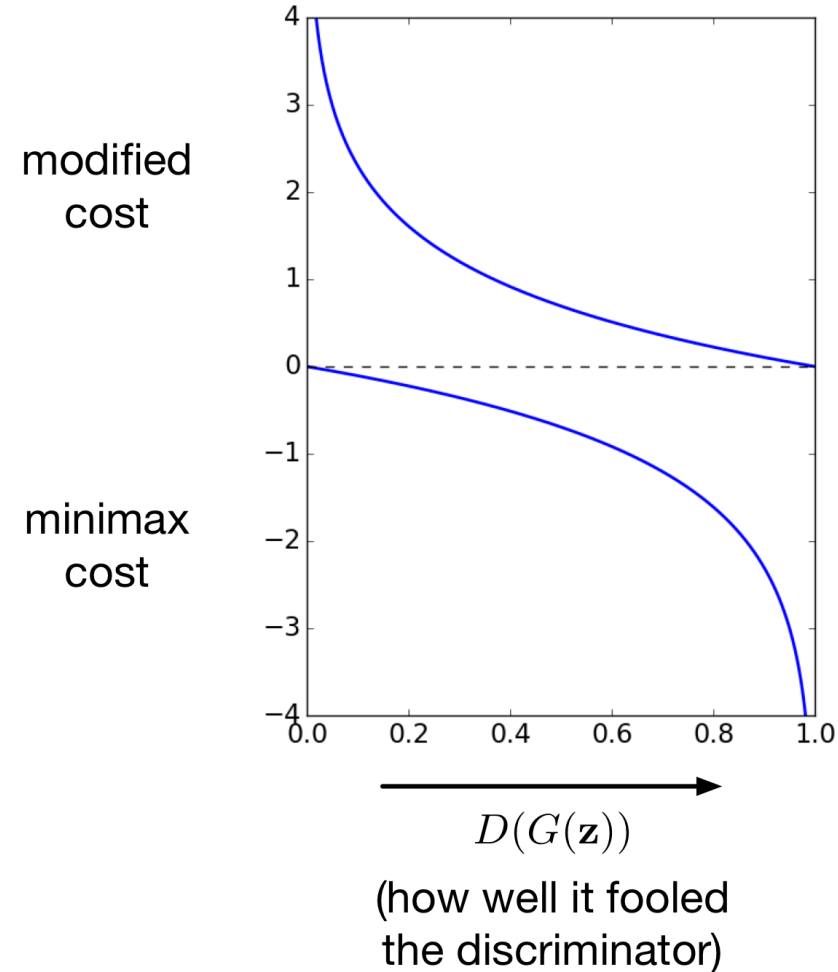
- Original minimax cost:

$$\mathcal{J}_G = \mathbb{E}_{\mathbf{z}}[\log(1 - D(G(\mathbf{z})))]$$

- Modified generator cost:

$$\mathcal{J}_G = \mathbb{E}_{\mathbf{z}}[-\log D(G(\mathbf{z}))]$$

- This fixes the saturation problem.





# Wasserstein GAN (WGAN)

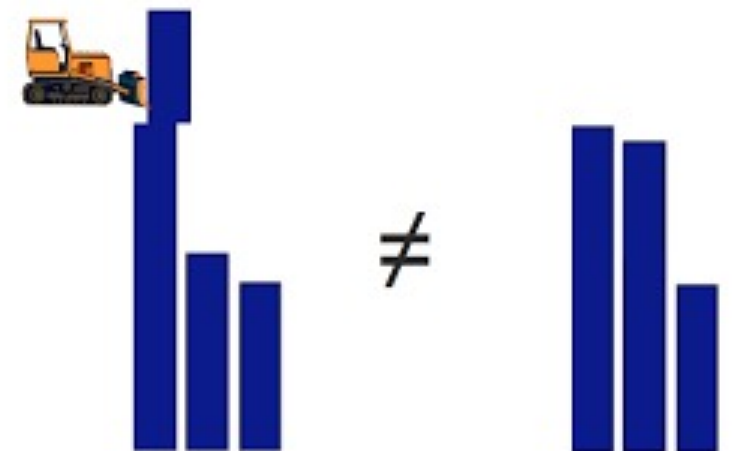
- If our data are on a **low-dimensional** manifold of a high dimensional space, the model's manifold and the true data manifold can have a **negligible intersection in practice**

# Wasserstein GAN (WGAN)

- If our data are on a **low-dimensional** manifold of a high dimensional space, the model's manifold and the true data manifold can have a **negligible intersection in practice**
- The loss function and gradients may not be continuous and well behaved

# Wasserstein GAN (WGAN)

- If our data are on a **low-dimensional** manifold of a high dimensional space, the model's manifold and the true data manifold can have a **negligible intersection in practice**
- The loss function and gradients may not be continuous and well behaved
- The **Wasserstein Distance** is well defined
  - Earth Mover's Distance
  - Minimum transportation cost for making one pile of dirt in the shape of one probability distribution to the shape of the other distribution



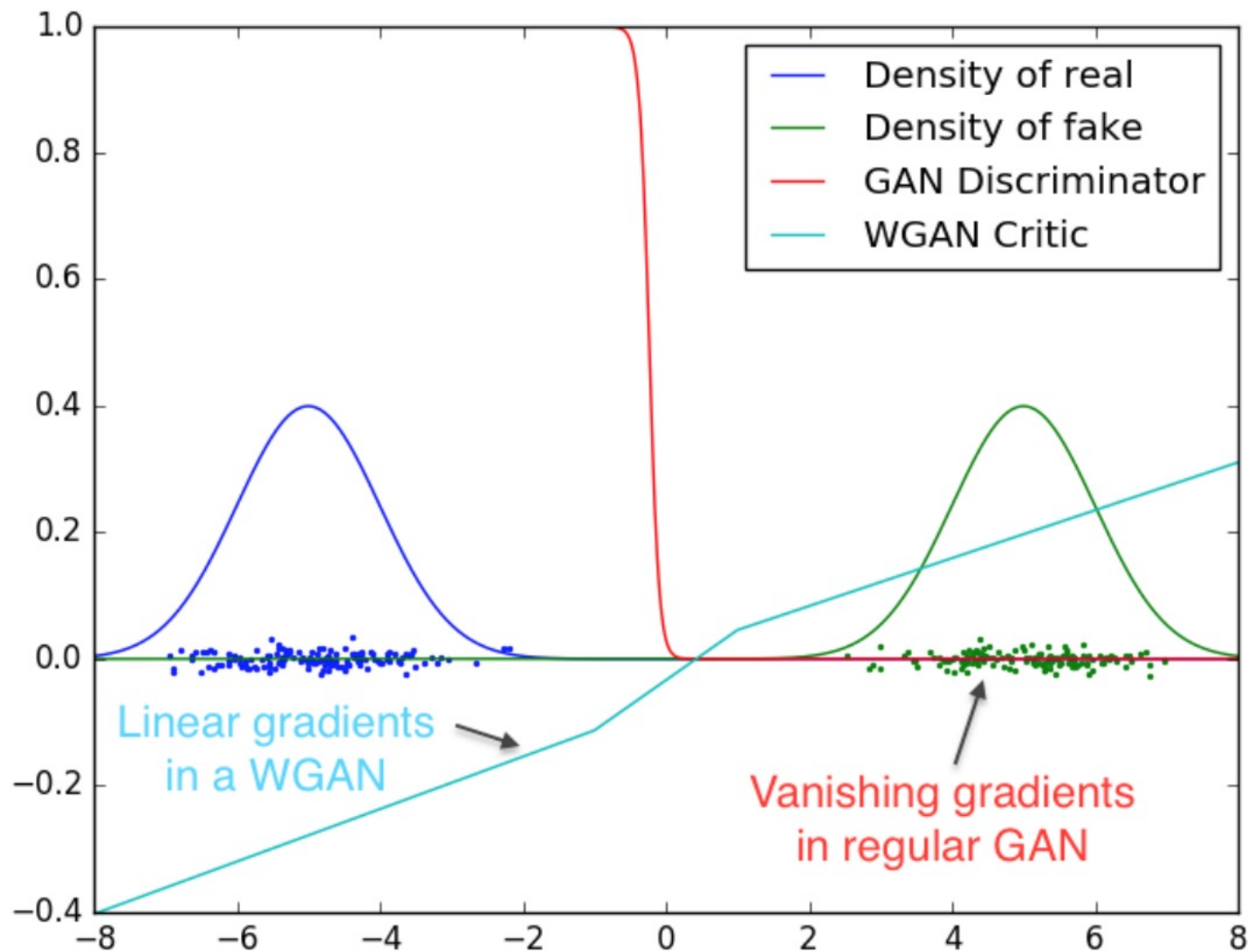
# Wasserstein GAN (WGAN)

- Objective

$$W(p_{data}, p_g) = \frac{1}{K} \sup_{\|D\|_L \leq K} \mathbb{E}_{x \sim p_{data}} [D(x)] - \mathbb{E}_{x \sim p_g} [D(x)]$$

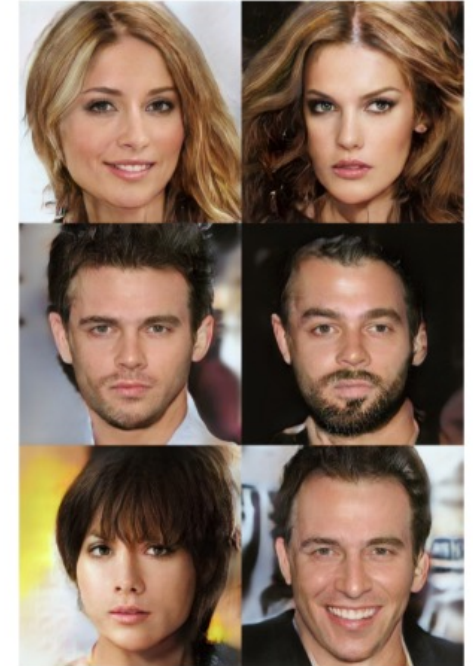
- $\|D\|_L \leq K$  : K- Lipschitz continuous
- Use gradient-clipping to ensure  $D$  has the Lipschitz continuity

# WGAN vs Vanilla GAN



# Progressive GAN

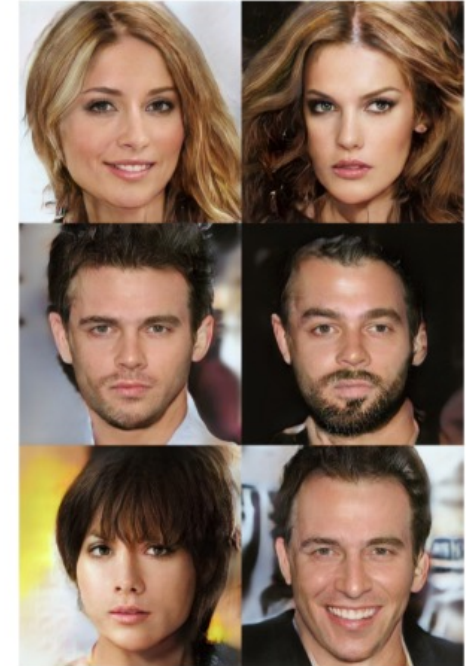
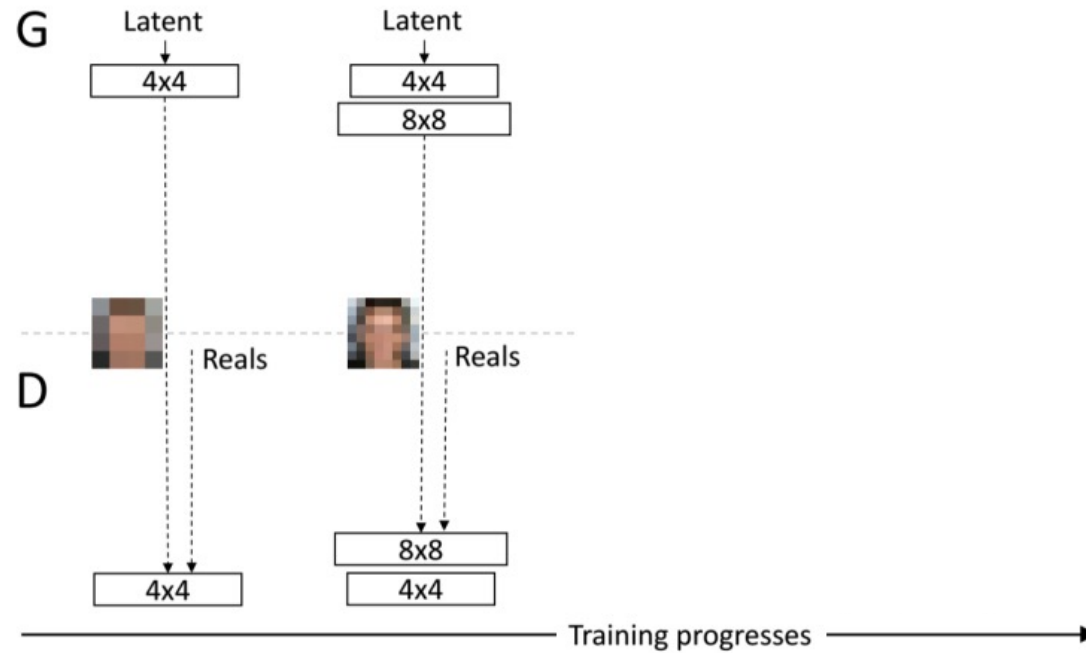
Low resolution images



# Progressive GAN

Low resolution images

add in  
additional  
layers



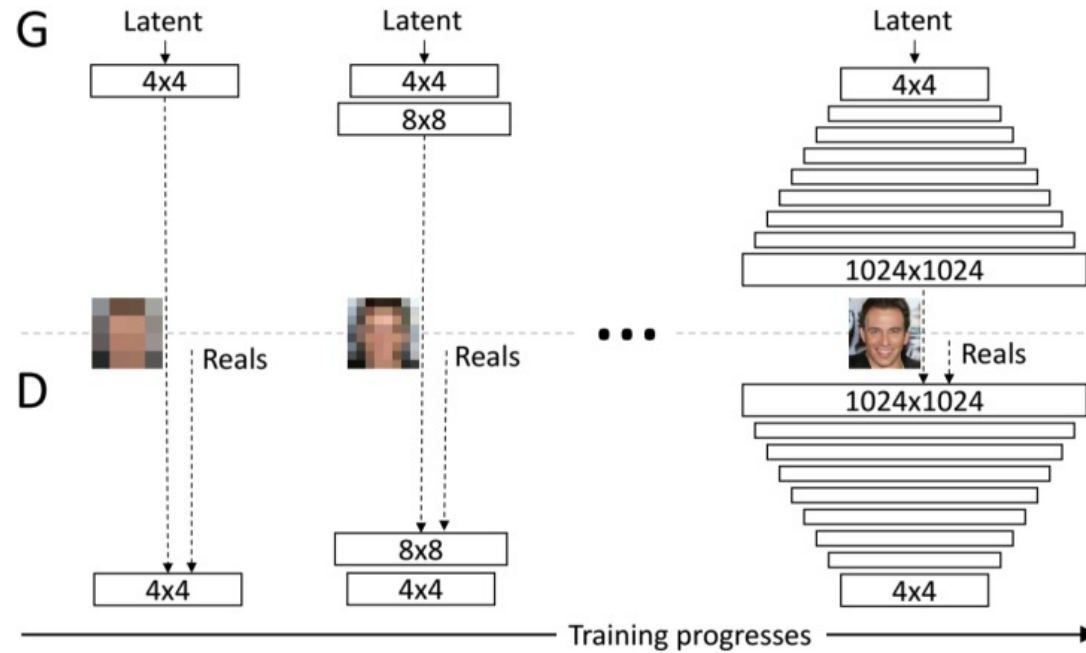
# Progressive GAN

Low resolution images

add in  
additional  
layers



High resolution images





# BigGAN

# BigGAN

- GANs benefit dramatically from **scaling**

# BigGAN

- GANs benefit dramatically from **scaling**
- 2x – 4x more parameters
- 8x larger batch size
- Simple architecture changes that improve scalability

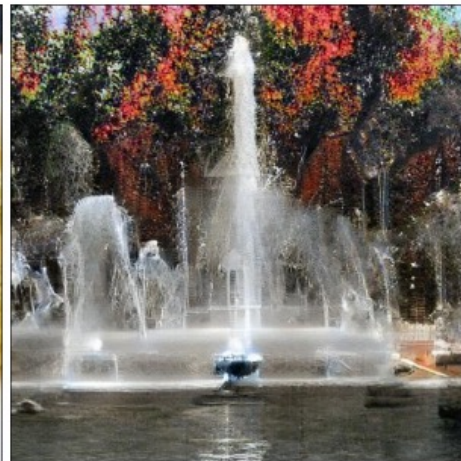
# BigGAN

- GANs benefit dramatically from **scaling**
- 2x – 4x more parameters
- 8x larger batch size
- Simple architecture changes that improve scalability



# BigGAN

- GANs benefit dramatically from **scaling**
- 2x → 4x more parameters
- 8x → 16x more parameters
- Similar quality to human



# GANs for Text

Questions?